

National Stock Exchange of India

Circular

Department: Investigation	
Download Ref No: NSE/INVG/61234	Date: March 20, 2024
Circular Ref. No: 295/2024	

To All NSE Members,

Sub: SEBI Directions in the matter of Shri Financial Advisory Pvt. Ltd. - Pramod Kumar Tripathi

This is with reference to NSE circular no. NSE/INVG/54057 dated October 13, 2022 in reference to SEBI Order no. WTM/ASB/WRO/WRO/20304/2022-23 dated October 13, 2022 wherein SEBI has restrained Pramod Kumar Tripathi (PAN - BAMPT6991E) from buying, selling or otherwise dealing in the securities market, directly or indirectly in any manner whatsoever, for a period of 1 (one) year from the date of this Order or till the expiry of 1 (one) year from the date of completion of refunds to Complainants /investors /clients as directed in paragraph 8(a) of SEBI Order, whichever is later.

SEBI vide mail dated March 20, 2024 has directed to remove the debarment on entity - Pramod Kumar Tripathi (PAN - BAMPT6991E).

Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page at the below mentioned link:

<https://www.nseindia.com/regulations/member-sebi-debarred-entities>

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to email us at dl-invsg-all@nse.co.in

For and on behalf of
National Stock Exchange of India Limited

Sanesh Sawant
Senior Manager