National Stock Exchange of India
Circular

Department: Investigation	
Download Ref No: NSE/INVG/58573	Date: September 26, 2023
Circular Ref. No: 210/2023	

To All NSE Members

Sub: **SEBI Miscellaneous Order in the matter of Yamini Investment Ltd**

This has reference to NSE circular no. NSE/INVG/58232 dated September 05, 2023 in reference to SEBI Order no. WTM/AB/IVD/ID11/29124/2023-24 dated September 04, 2023.

SEBI now, vide its Order no. WTM/AB/IVD/ID16/29420/2023-24 dated September 26, 2023, has directed that the below mentioned debarred entities be allowed to liquidate all open positions in derivatives as on the date of the SEBI Order, within two working days from the date of this Order.

Sr. No.	Name of the Entity	PAN
1	Harvinder Singh HUF	AACHH8750E
2	Satvinder Kaur	AAXPK8350J
3	Seema Sangla	AFHPJ0275Q

This order shall come into force with immediate effect.

The detailed order is available on SEBI website (http://www.sebi.gov.in).

Further, the consolidated list of such entities is available on the Exchange website http://www.nseindia.com home page at the below link:

https://www.nseindia.com/regulations/member-sebi-debarred-entities

Members are advised to take note of the above and ensure compliance.

National Stock Exchange of India

In case of any further queries, members are requested to email us at dl-invsg-all@nse.co.in

For and on behalf of
National Stock Exchange of India Limited

Sandesh Sawant
Senior Manager

ANNEXURE: SEBI Miscellaneous Order in the matter of Yamini Investment Ltd

WTM/AB/IVD/ID16/29420 /2023-24

BEFORE THE SECURITIES AND EXCHANGE BOARD OF INDIA
MISCELLANEOUS ORDER

On the representation of Harvinder Singh (HUF), Satvinder Kaur and Seema Sangla. – In respect of the Order dated September 4, 2023 passed in the matter of Yamini Investment Ltd.

1. Securities and Exchange Board of India ("**SEBI**") had passed an Order bearing no. WTM/AB/IVD/ID11/29124/2023–24 dated September 4, 2023 ("**SEBI Order**"), in respect of 70 entities. By way of the said Order, 65 entities were debarred from accessing the securities market for a period of 2 years ("**Debarred Entities**").

2. In this respect, a representation by way of an e–mail dated September 7, 2023, has been received from 3 Debarred Entities, viz. Harvinder Singh (HUF), Satvinder Kaur and Seema Sangla ("**Applicants**"), whereby it has been *inter alia* informed that they were holding certain open positions in the Futures and Options Segment as on date of the SEBI Order. By way of the said representation, it has been requested they may be allowed to close these open positions.

3. It is noted from the record that the above mentioned 3 Debarred Entities /Applicants had not informed of any derivative positions on Nifty being held by them during the proceedings which concluded in the passing of the SEBI Order. Accordingly, no specific direction was included for allowing them to close any open positions.

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4. In light of the facts brought out above, it is directed that the Debarred Entities be allowed to liquidate all open positions in derivatives as on the date of the SEBI Order, within two working days from the date of this Order.

5. This Order shall come into force with immediate effect.

6. A copy of this Order shall be forwarded to the Debarred Entities, the Recognised Stock Exchanges, Mutual Funds, RTAs and the Depositories to ensure that the directions given above are complied with.

7. Accordingly, the representation dated September 7, 2023, as made by the 3 Debarred Entities /Applicants, stands disposed of.

Place: Mumbai

Date: September 26, 2023

ASHWANI BHATIA

WHOLE TIME MEMBER

SECURITIES AND EXCHANGE BOARD OF INDIA

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