

National Stock Exchange of India

Circular

Department: Investigation	
Download Ref No: NSE/INVG/55558	Date: February 08, 2023
Circular Ref. No: 36/2023	

To All NSE Members

Sub: Corrigendum to the Order in the matter of Sulabh Engineers & Services Limited

This is with reference to NSE Circular No. NSE/INVG/55399 dated January 27, 2023 in respect of SEBI Order No. QJA/AA/IVD/ID4/23144/2022-23 dated January 27, 2023.

SEBI now vides its corrigendum order dated February 08, 2023 has inter alia stated that the name of the entity, Mr. Deepak Kumar Agarwal (PAN: - ABSPA2276K) at sr. no. 14 in the tables appearing in paragraph nos. 169 and 178 (A) of the SEBI order dated January 27, 2023 shall stand deleted.

The detailed order is available on SEBI website (<http://www.sat.gov.in>).

Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page at the below mentioned link:

<https://www.nseindia.com/regulations/member-sebi-debarred-entities>

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr. Rakesh Sharma (Extension: 24052), Mr. Anand Jangir (Extension: 22385)
Direct No: 022-26598417/18 Fax: 022-26598195

For and on behalf of



National Stock Exchange of India

National Stock Exchange of India Limited

Anand Jangir
Manager

Annexure: - Corrigendum to the Order in the matter of Sulabh Engineers & Services Limited

QJA/AA/IVD/ID4/23624/2022-23
SECURITIES AND EXCHANGE BOARD OF INDIA
CORRIGENDUM

**CORRIGENDUM TO THE ORDER DATED JANUARY 27, 2023 BEARING
REFERENCE NUMBER QJA/AA/IVD/ID4/23144/2022-23 IN THE MATTER OF
SULABH ENGINEERS & SERVICES LIMITED UNDER SECTIONS 11(1), 11 (4) AND
11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992**

1. The Securities and Exchange Board of India (hereinafter referred to as “**SEBI**”) passed order bearing reference no. QJA/AA/IVD/ID4/23144/2022-23 (hereinafter referred to as “**Order**”) dated January 27, 2023 in the matter of Sulabh Engineers & Services Limited.
2. The Authorized Representative of Mr. Deepak Kumar Agarwal, Noticee no. 134, vide email dated February 02, 2023, has brought to the notice of the undersigned that at paragraph no. 136 of the Order, while examining the role of Mr. Deepak Kumar Agarwal inadvertent reference has been made to the reply of Mr. Sajan Kumar Agarwal, instead of that of Mr. Deepak Kumar Agarwal.
3. In view of the above error, paragraph nos. 136 and 140 of the Order may be read as under:

136. I note from Annexure 3 to the SCN that Mr. Deepak Kumar Agarwal had entered into off market transaction without going through the Exchange platform with Scope Vyapar Private Limited (Class Commercial Private Limited). However, I note that the SCN or the Annexure 3 to the SCN do not contain any supporting documents to show that Mr. Deepak Kumar Agarwal had entered into the said off market transaction with Scope Vyapar Private Limited (Class Commercial Private Limited).

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140. However, in view of the fact that connection of the Noticee, Mr. Deepak Kumar Agarwal with the company is not established, I note that the Noticee ought to be treated in a similar manner as the 22 Preferential Allottees who have not been made party to the present proceedings. In view of the above, I note that the charge of

violation of regulations 3(a), (b), (c), (d) and regulations 4(1), 4(2) (a) and (e) of PFUTP Regulations against the Noticee, Mr. Deepak Kumar Agarwal is not established.

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C. In the facts and circumstances of the case, I hereby dispose of the show cause notice dated July 31, 2017 issued to Noticees mentioned in the table below:

Sr. No.	Noticee no.	Name of the Noticee	PAN
1.	Noticee no. 8	Mr. Surendra Kumar Gupta	AAQPG0240E
2.	Noticee no. 124	Mr. Praveen Kurele	ADKPK9580A
3.	Noticee no. 126	Mr. Som Prakash Goenka	AAQPG0238C
4.	Noticee no. 127	Mr. Naveen Kurele	AGUPK5822A
5.	Noticee no. 144	Mr. Raj Kumar	AHAPK7548Q
6.	Noticee no. 134	Mr. Deepak Kumar Agarwal	ABSPA2276K

4. Further, the observations against Mr. Deepak Kumar Agarwal at paragraph nos. 138 and 139 of the Order shall stand deleted. The name of the Noticee, Mr. Deepak Kumar Agarwal at sr. no. 14 in the Tables appearing in paragraph nos. 169 and 178 (A) shall also stand deleted.
5. The order shall come into force with immediate effect.
6. A copy of this order shall be served upon all recognised Stock Exchanges, Depositories and the Registrar and Share Transfer Agents to ensure compliance with the above directions.
7. The Order dated January 27, 2023 bearing reference number QJA/AA/IVD/ID4/23144/2022-23 shall always be read along with this Corrigendum.

Date: February 08, 2023
Place: Mumbai

Sd/-
DR. ANITHA ANOOP
CHIEF GENERAL MANAGER
SECURITIES AND EXCHANGE BOARD OF
INDIA