

National Stock Exchange of India Circular

Department: Investigation	
Download Ref No: NSE/INVG/52565	Date: June 08, 2022
Circular Ref. No: 73/2022	

To All NSE Members

Sub: SEBI Order in the matter of front running activities of Bhola Nath Dhall, former Vice President - Risk of Religare Finvest Limited, through the account of Abhishek Dhall in various scrips.

SEBI vide its Order no. WTM/AB/IVD/ID19/16928/2022-23 dated June 08, 2022 has issued the following directions:-

1. Bhola Nath Dhall (PAN: - AGUPD5451E) is restrained from accessing the securities market and further prohibited from buying, selling, or otherwise dealing in securities, directly or indirectly, or being associated with the securities market in any manner, whatsoever, for a period of 2 (two) years, from the date of this order.
2. Abhishek Dhall (PAN: - BLUPD4718A) is restrained from accessing the securities market and further prohibited from buying, selling or otherwise dealing in securities, directly or indirectly, or being associated with the securities market in any manner, whatsoever, for a period of 3 (three) months, from the date of this order.

Further, all open positions, if any, of the Entity, restrained/prohibited in the present Order, in the F&O segment of the recognized stock exchange(s), are permitted to be squared off, irrespective of the restraint/prohibition imposed by this Order.

This Order shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>).

National Stock Exchange of India

Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Home-Regulation-Members-Action against Members-Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr. Harshal Vaidya (Extension: 23335), Mr. Anand Jangir (Extension: 22385)

Direct No: 022-26598417/18 Fax: 022-26598195

For and on behalf of
National Stock Exchange of India Limited

Anand Jangir
Manager