

National Stock Exchange of India

Circular

Department: Investigation	
Download Ref No: NSE/INVG/52531	Date: June 03, 2022
Circular Ref. No: 70/2022	

To All NSE Members

Sub: SEBI Order In the matter of Front Running: Various Funds of Fidelity Group

SEBI Vide its order no. WTM/AB/IVD/ID3/16889/2022-23 dated June 03, 2022 inter alia has stated that the following entities were restrained from accessing the securities market and further prohibited from buying, selling or otherwise dealing in securities, directly or indirectly as may be applicable:-

Sr No.	Name of the Entity	PAN	Period
1	Vaibhav Dhadda (alias Avi Dhadda)	DFAPD6039C	3 years
2	Alka Dhadda	BBIPD5396J	2 Years
3	Arushi Dhadda	DFAPD6005J	2 Years
4	Pramod Jain (HUF)	AALHP5134K	2 Years
5	Beena Jain	AOMPJ7655J	2 Years
6	Aditya Barla	ANJPB2487N	2 Years
7	Sumit Kanungo	AUCPK0661M	2 Years
8	Prashant Jain	AOMPJ7656M	2 Years
9	Pranay Vaid	ACZPV5511A	2 Years
10	Siddharth Jain	AEWPJ4559H	2 Years
11	Riya Jain (Kanungo)	AHKPJ1006A	2 Years

In case the entities fail to comply with the direction of disgorgement as issued in sub para (ii) of the Sebi Order, they shall be restrained from accessing the securities market and prohibited from buying, selling or otherwise dealing in the securities market, till the actual payment of

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disgorgement amount or till the completion of the debarment directed at sub-para (i) of the Sebi Order, whichever is later.

The obligation of the entities, restrained/ prohibited in this Order, in respect of settlement of securities, if any, purchased or sold in the cash segment of the recognized stock exchange(s), as existing on the date of this Order, are allowed to be discharged irrespective of the restraint/prohibition imposed by this Order. Further, all open positions, if any, of the entities, restrained/prohibited in the present Order, in the F & O segment of the recognised stock exchange(s), are permitted to be squared off, irrespective of the restraint/prohibition imposed by this Order.

This Order shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Home-Regulation-Members-Action against Members-Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr. Vipul Mhatre (Extension: 22404), Mr. Anand Jangir (Extension: 22385)
Direct No: 022-26598417/18 Fax: 022-26598195

For and on behalf of
National Stock Exchange of India Limited

Atish Agarwal
Senior Manager