

National Stock Exchange of India

Circular

Department: Investigation	
Download Ref No: NSE/INVG/51848	Date: March 31, 2022
Circular Ref. No: 43/2022	

To All NSE Members

Sub: SAT order in the matter of Mr. Shailesh Kumar Mishra

This is with reference to NSE Circular No. NSE/INVG/33555 dated November 02, 2016 in respect of SEBI order no. WTM/RKA/EFD-DRA-IV/184/2016 dated November 01, 2016, wherein SEBI had restrained Mr. Shailesh Kumar Mishra (PAN: AJTPM9601P) from buying, selling or otherwise dealing in the securities market, directly or indirectly, in whatsoever manner for a period of four years or till the date of refund of money to the allottees, whichever is later.

The Hon'ble SAT now vide its order dated March 25, 2022 has stated that the aforesaid SEBI Order dated November 01, 2016 cannot be sustained and is quashed in respect of Mr. Shailesh Kumar Mishra.

The detailed order is available on SAT website (<http://www.sat.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under "Home-Regulation-Members-Action against Members-Regulatory Actions".

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr. Ojas Murudkar (Extension: 22378), Mr. Atish Agarwal (Extension: 26026)
Direct No: 022-26598417/18 Fax: 022-26598195

For and on behalf of
National Stock Exchange of India Limited

Atish Agarwal
Manager