

National Stock Exchange of India

Circular

Department: Investigation	
Download Ref No: NSE/INVG/51367	Date: February 18, 2022
Circular Ref. No: 18/2022	

To All NSE Members

Sub: SEBI Order in the matter of transfer of mutual fund units by Money Mishra Financial Services and Others

SEBI vide its order no. WTM/SM/IVD/ID3/15009/2021-22 dated February 18, 2022 has restrained the following entities from accessing the securities market and further prohibited from buying, selling, or dealing in securities, either directly or indirectly, in any manner whatsoever, for a period as specified below years from the date of the Order.

No.	Name	PAN	Period
1	Money Mishra Financial Services	AAZFM1357R	2 years
2	Money Mishra Overseas Pvt. Ltd.	AAJCM8612N	2 years
3	Mr. Awanish Kumar Mishra	AGOPM7538H	2 years
4	Mr. Jitendra Kumar Tiwari	ALBPT6629A	1 year

The obligation of the aforesaid entities, in respect of settlement of securities, if any, purchased or sold in the cash segment of the recognized stock exchange(s), as existing on the date of the Order, can take place irrespective of the restraint / prohibition imposed by the Order only, in respect of pending unsettled transactions, if any. Further, all open positions, if any, of these entities debarred in the present order, in the F&O segment of the stock exchanges, are permitted to be squared off, irrespective of the restraint/prohibition imposed by the Order.

This Order shall come into force with immediate effect.

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The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Home-Regulation-Members-Action against Members-Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr. Tanmay Rane (Extension: 23346), Mr. Atish Agarwal (Extension: 26026)

Direct No: 022-26598417/18 Fax: 022-26598195

For and on behalf of
National Stock Exchange of India Limited

Atish Agarwal
Manager