

National Stock Exchange of India

Circular

Department: Investigation	
Download Ref No: NSE/INVG/50527	Date: December 07, 2021
Circular Ref. No: 313/2021	

To All NSE Members

Sub: Miscellaneous Order in the matter of Anirudh Sethi

This is with reference to SAT Order dated July 29, 2021 wherein SAT directed that the order of the learned WTM prohibiting the entity Anirudh Sethi (ANBPS5743A) from accessing the securities market for a period of four years from the date of the expiry of the date of refund is hereby set aside instead it is hereby directed that the period shall expire upon deposit of the amount (12 lacs + 20 lacs) as directed vide paragraphs no. 16 and 17 of SAT Order.

SEBI, now vide its order dated December 07, 2021 having order number WTM/AB/IMD /DoF - 1/14435/2021-22 has stated that in compliance with the direction given in the order dated July 29, 2021 passed by Hon'ble SAT, above entity had deposited Rs. 12 lakhs on August 20, 2021 in the Investor Protection and Education Fund established by SEBI and Rs. 20 Lakhs on September 14, 2021 in an interest bearing account.

This order shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under "Home Regulation-Members-Action against Members-Regulatory Actions".

Members are advised to take note of the above and ensure compliance.

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In case of any further queries, members are requested to contact the following officials:

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For and on behalf of
National Stock Exchange of India Limited

Atish Agarwal
Manager