

National Stock Exchange of India

Circular

Department:	
Download Ref No: NSE/INVG/50137	Date: October 28, 2021
Circular Ref. No: 294/2021	

To All NSE Members

Sub: SEBI Order in the matter of Goodwill Research.

This is with reference to interim SEBI order No. WTM/MPB/IMD/WRO/159/2020 dated December 16, 2020 wherein SEBI had directed certain entities not to access the securities market and buy, sell or otherwise deal in securities in any manner whatsoever, directly or indirectly, until further orders.

Now, SEBI vide its order No. WTM/GM/WRO/WRO/13952/2021-22 dated October 28, 2021 has restrained Suneel Kumar Patel (DFQPP5370N) from accessing the securities market, and further prohibited from buying, selling or otherwise dealing in securities, directly or indirectly, and associating with the securities market in any manner, whatsoever, for a period of 4 years.

Further, SEBI order has directed that the directions issued against Duiji Patel (PAN not available) vide the Interim Order shall stand revoked.

The above directions shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Home Regulation-Members-Action against Members-Regulatory Actions”

Members are advised to take note of the above and ensure compliance.

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In case of any further queries, members are requested to contact the following officials:

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For and on behalf of
National Stock Exchange of India Limited

Sareesh Koroth
Chief Manager