

National Stock Exchange of India

Circular

Department:	
Download Ref No: NSE/INVG/ 50114	Date: October 26, 2021
Circular Ref. No: 291/2021	

To All NSE Members

Sub: SEBI Order in the matter of Pincon Securities Ltd.

SEBI vide its order no. WTM/GM/EFD-1-DRA-3/ 49/2021-22 dated October 26, 2021 has hereby restrained the following entities from accessing the securities market, and further prohibited from buying, selling or otherwise dealing in securities, directly or indirectly, and associating with the securities market in any manner, whatsoever:

Sr. No.	Entity Name	PAN	Period of Debarment (in years)
1	Pincon Securities Limited	NA	5
2	Raj Kumar Roy	ADEPR0525N	5
3	Dipankar Basu	NA	5
4	Hari Singh	BEYPS1645Q	5
5	Manoranjan Roy	AROPR1716R	5
6	Arup Thakur	AHAPT9481B	5
7	Chandan Kumar Adhikary	NA	5
8	Subhajit Saha	BKUPS5569R	5

Further, in respect of settlement of securities, if any, purchased or sold in the cash segment of the recognized stock exchange(s), as existing on the date of this Order, can take place irrespective of the restraint/prohibition imposed by this Order, only in respect of pending unsettled transactions, if any.

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Further, all open positions, if any, of the entities debarred in the SEBI Order, in the F&O segment of the Stock Exchanges, are permitted to be squared off, irrespective of the restraint/prohibition imposed by this Order.

The above directions shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Home Regulation-Members-Action against Members-Regulatory Actions”

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms. Samiksha Wadkar (Extn: 25413), Mr. Atish Agarwal (Extn: 26026)
Direct No: 022-26598417/18 Fax: 022-26598195

For and on behalf of
National Stock Exchange of India Limited

Sareesh Korothe
Chief Manager