

National Stock Exchange of India

Circular

Department:	
Download Ref No: NSE/INVG/49719	Date: September 23, 2021
Circular Ref. No: 272/2021	

To All NSE Members

Sub: Final Order in respect of M/s Proficient Global Research - Prop. Mr. Abhishek Suryawanshi

This is with reference to NSE circular No NSE/INVG/45176 dated July 30, 2020 referring to SEBI order no. WTM/MPB/IMD-DoF-1/WRO/124/2020 dated July 29, 2020.

SEBI now vide its order no. WTM/SM/WRO-ILO/13487/2021-22 September 23, 2021 has debarred M/s Proficient Global Research Proprietor Mr. Abhishek Suryawanshi (PAN: GGGPS2334H) from accessing the securities market, directly or indirectly and are prohibited from buying, selling or otherwise dealing in the securities market, directly or indirectly in any manner whatsoever, for a period of 02 (two) years from the date of SEBI Order or till the expiry of 02 (two) years from the date of completion of refunds to investors as directed in para 26 (i) of SEBI Order, whichever is later.

Obligation of the entity, in respect of settlement of securities, if any, purchased or sold in the cash segment of the recognized stock exchange (s), as existing on the date of this Order, can take place irrespective of the restraint/prohibition imposed by the Order, only in respect of pending unsettled transactions, if any.

Further, all open positions, if any, of the entity in the F & O segment of the stock exchange, are permitted to be squared off, irrespective of the restraint/prohibition imposed by the Order.

This Order shall come into force with immediate effect.

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The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Home-Regulation-Members-Action against Members-Regulatory Actions”.

In case of any further queries, members are requested to contact the following officials:

Ms. Astha Gupta (Extn: 25051), Mr. Atish Agrawal (Extn: 26026)
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For and on behalf of
National Stock Exchange of India Limited

Rahul Jahagirdar
Chief Manager