

National Stock Exchange Of India Limited**Department: INVESTIGATION**

Download Ref No.: NSE/INVG/49128

Date: July 30, 2021

Circular Ref No.:238/2021

To All NSE Members

Sub: SAT Order in the matter of Anirudh Sethi

This is with reference to NSE circular no. NSE/INVG/2007/8423 dated January 24, 2007 referring to SEBI order dated January 19, 2007, NSE circular no. NSE/INVG/2009/12820 dated July 31, 2009 referring to SEBI order dated July 29, 2009 and NSE Circular No. NSE/INVG/37223 dated March 19, 2018 referring to SEBI Order No. WTM/MPB/SEBI/EFD-DRA4/153/2018 dated March 16, 2018.

Now the Hon'ble Securities Appellate Tribunal (SAT) vide its order dated July 29, 2021 has directed that "The order of the learned WTM prohibiting the appellant from accessing the securities market for a period of four years from the date of the expiry of the date of refund is hereby set aside instead it is hereby directed that the period shall expire upon deposit of the amount (12 lacs + 20 lacs) as directed vide paragraphs no. 16 and 17 of the SAT Order".

This order shall come into force with immediate effect.

The detailed order is available on SAT website (<http://www.sat.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under "Domestic Investors – Regulatory - Regulatory Actions".

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

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For and on behalf of
National Stock Exchange of India Limited

Rahul Jahagirdar
Chief Manager