

National Stock Exchange Of India Limited**Department : INVESTIGATION**

Download Ref No.: NSE/INVG/48833

Date: July 04, 2021

Circular Ref No.: 222/2021

To All NSE Members

Sub: SEBI Order In the matter of alleged fraudulent transfer of Mutual Fund units from client accounts by Allied Financial Services Pvt. Ltd

SEBI vide its order no. WTM/MPB/IVD/ID1/194/2021 dated July 02, 2021 has hereby restrained following entities from accessing the securities market and from buying, selling or dealing in securities, either directly or indirectly, in any manner whatsoever, for a period as mentioned below:

Sr. No.	Name of the Entity	PAN	Period of Debarment
1	Allied Financial Services Pvt. Ltd.	AAACA2020K	7 years
2	Mr. Awanish Kumar Mishra	AGOPM7538H	7 years
3	Mr. Himanshu Arora	AUXPA3314Q	3 years
4	Mr. Jitendra Kumar Tiwari	ALBPT6629A	1 years

This order shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Home-Regulation-Members-Action against Members-Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms. Heena Wadhwa (Extn: 25366), Mr. Ashish Tiwari (Extn: 22403)

Direct No: 022-26598417/18 Fax: 022-26598195

**For and on behalf of
National Stock Exchange of India Limited**

**Binoy Yohannan
Chief Manager**