

National Stock Exchange Of India Limited**Department: INVESTIGATION**

Download Ref No.: NSE/INVG/48257

Date: May 12, 2021

Circular Ref No.: 90/2021

To All NSE Members

Sub: SAT Order in the matter of Suresh Shetty & Ors.

This is with reference to NSE Circular No. NSE/INVG/48097 dated April 27, 2021 in respect of SEBI Order No. WTM/AB/IVD/ID15/11511/2021-22 dated April 27, 2021.

Hon'ble SAT now vide its Order dated May 05, 2021 has stated that "we direct the appellants to deposit the disgorged amount along with interest and the penalty within two weeks from today. Upon deposit the restraint order as given in direction no. 1 & 2 in paragraph 52 of the impugned order will remain in abeyance during the pendency of the appeal." for the below mentioned entities:

Sr. No.	Name of the Entities	PAN
1	Mr. Suresh Shetty	ABBPS1631D
2	M/s. Suresh Shetty (HUF)	AAJHS7270M
3	M/s. Emerging Securities Private Limited	AAACE0195R
4	Mrs. Reetha Shetty	AADPS5018B
5	M/s. Vanijya Investment and Trading	AAKFV4482F
6	Ms. Shruti Shetty	AOBPS4348L

SEBI vide its email dated May 12, 2021, has confirmed that "The noticees have deposited the amounts as mentioned in the aforesaid order of the Hon'ble SAT."

The detailed order is available on SAT website (<http://www.Sat.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under "Domestic Investors – Regulatory - Regulatory Actions".

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr Anand Jangir (Extn.: 22385), Mr Ashish Tiwari (Extn.: 22403)

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For and on behalf of
National Stock Exchange of India Limited

Binoy Yohannan
Chief Manager