

National Stock Exchange Of India Limited

Department: INVESTIGATION

Download Ref No.: NSE/INVG/47483

Date: February 26, 2021

Circular Ref No.: 48/2021

To All NSE Members

Sub: Final order in the matter of Nikki Global Finance Ltd

SEBI vide its order no. WTM/AB/IVD/ID7/10565/2020-21 dated February 26, 2021 has hereby restrained the below mentioned entities from accessing the securities market and further prohibited from buying, selling or otherwise dealing in securities, directly or indirectly, or being associated with the securities market in any manner, whatsoever, for a period of three (3) months, from the date of the order:-

Sr. No.	Entity Name	PAN
1	Santosh Kumar Agarwal	ABHPA5568M
2	Kamta Prasad Pandey	AIPPP5293B
3	Success Vyapar Private Limited	AAKCS0190G
4	Raj Kumar Agarwal	ADNPA5935E
5	Anant Fin Consultancy Pvt. Ltd.	AAHCA6049D
6	Rishi Kant Awasthi	ABTPA6752B
7	Adinath Shares and Commodities Private Limited	AAGCA2671L
8	MHDS Stone Edge Pvt. Ltd. (formerly known as Priyanshi Securities Limited)	AADCP5478K
9	Surendra Kumar Gupta	AAQPG0240E
10	Rita Jain	ADLPJ0701D

The obligation of the entity debarred in the present Order, in respect of settlement of securities, if any, purchased or sold in the cash segment of the recognized stock exchange(s), as existing on the date of this Order, can take place irrespective of the restraint/prohibition imposed by the Order, only in respect of pending unsettled transactions, if any. Further, all open positions, if any, of the entity debarred in the Order, in the F&O segment of the stock exchanges, are permitted to be squared off, irrespective of the restraint/prohibition imposed by this Order

The Order shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory - Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms Sayali Bhalerao (Extn.: 22374), Mr Ashish Tiwari (Extn:22403)
Direct No: 022-26598417/18
Fax: 022-26598195

For and on behalf of
National Stock Exchange of India Limited

Binoy Yohannan
Chief Manager