

National Stock Exchange Of India Limited**Department: INVESTIGATION**

Download Ref No.: NSE/INVG/47354

Date: February 15, 2021

Circular Ref No.: 40/2021

To All NSE Members

Sub: Final Order in respect of Mr. Harivallabh Mundra in the matter of Voltaire Leasing and Finance Ltd

This is with reference to NSE circular no. NSE/INVG/44119 dated April 14, 2020 referring to SEBI order no. WTM/MPB/EFD-DRA-III/117/2020 dated April 13, 2020 and subsequent NSE circular no. NSE/INVG/45356 dated August 17, 2020 referring to Hon'ble SAT order dated August 10, 2020.

SEBI now vide its order no. WTM/MB/IVD/ID10/10449/2020-21 dated February 15, 2021 has hereby restrained the Mr. Harivallabh Mundra (PAN: AAAPM8378D) from accessing the securities market and buying, selling or dealing in securities, either directly or indirectly, in any manner for a period of two years from the date of the Order.

Further, the period of restraint already served by the entity from the date of the initial order i.e., April 13, 2020, till the date of the order of the Hon'ble SAT shall be counted while determining the period of restraint mentioned above.

It is made clear that if the entity has any open positions in any exchange traded derivative contracts, he can close out/ square off such open positions within 3 months from the date of Order or at the expiry of such contracts, whichever is earlier. It is also clarified that the entity can settle the pay-in and pay-out obligations in respect of transactions, if any, which have taken place before the close of trading on the date of the Order.

The Order shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under "Domestic Investors – Regulatory - Regulatory Actions".

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:
Ms Meenakshi Nayak (Extn.: 22392), Mr Ashish Tiwari (Extn:22403)
Direct No: 022-26598417/18
Fax: 022-26598195

**For and on behalf of
National Stock Exchange of India Limited**

**Binoy Yohannan
Chief Manager**