

National Stock Exchange Of India Limited

Department: INVESTIGATION

Download Ref No.: NSE/INVG/47269

Date: February 08, 2021

Circular Ref No.: 28/2021

To All NSE Members

Sub: Interim order in the matter of Capitalaim Financial Advisory Private Limited

SEBI vide its order no. WTM/MPB/IMD /WRO/ 174 /2021 dated February 08, 2021 has hereby directed the following present directors not to access the securities market and buy, sell or otherwise deal in securities or associates themselves with securities market, directly or indirectly, in any manner whatsoever or on behalf of any of its clients through their accounts;

Sr. No.	Name Of The Entity	Pan
1	Capitalaim Financial Advisory Private Limited	AAFCC0828E
2	Mr. Abhijeet Bajpai	AKOPB2348A
3	Mr. Anant Tiwari	AOZPT7238L

Also, SEBI directed the below mentioned past directors not to access the securities market and buy, sell or otherwise deal in securities or associates themselves with securities market, directly or indirectly, in any manner whatsoever.

Sr. No.	Name Of The Entity	Pan
1	Mr. Debabrata Bhattacharjee	AKKPB4039N
2	Ms. Sheetal Foujdar	AVLPB6079L
3	Ms. Abhilasha Verma	AMHPV0559J

Further, If Capitalaim or its directors, both past and present, have any open position in any exchange traded derivative contracts, as on the date of the order, they can close out/ square off such open positions within 3 months from the date of order or at the expiry of such contracts, whichever is earlier. Capitalaim or its directors, both past and present, are permitted to settle the pay-in and pay-out obligations in respect of transactions, if any, which have taken place before the close of trading on the date of this order.

The Order shall come into force with immediate effect and shall be in force until further orders.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory - Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms Sheetal More (Extn.: 22384), Mr Ashish Tiwari (Extn:22403)

Direct No: 022-26598417/18

Fax: 022-26598195

For and on behalf of

National Stock Exchange of India Limited

Binoy Yohannan

Chief Manager