

National Stock Exchange Of India Limited

Department: INVESTIGATION

Download Ref No.: NSE/INVG/47033

Date: January 15, 2021

Circular Ref No.: 08/2021

To All NSE Members

Sub: SEBI Order in the matter of Pankaj Piyush Trade and Investments Limited.

SEBI vide its Order no. WTM/AB/IVD/ID9/10103/2020-21 dated January 15, 2021 has hereby restrained the below-mentioned entities from accessing the securities market and further prohibited them from buying, selling or otherwise dealing in securities, directly or indirectly, or being associated with the securities market in any manner, for period as specified, from the date of the Order –

Sr. No.	Entity	PAN	Period
1	Pankaj Piyush Trade and Investments Ltd	AABCP3140N	1 year
2	Vinod Kumar Bansal	AEPPB6994R	3 years
3	Renu Bansal	BEYPB2265P	3 years
4	Seema Mangal	AJVPM5737E	6 months
5	Ankit Agarwal	AIOPA4947L	6 months
6	Radha Agarwal	AICPA0124F	6 months
7	SMC Power Generation Ltd.	AAGCS3066B	18 months
8	Radhika Kapur	AFFPK5696G	18 months
9	Girish Kapur	AEHPK7076D	18 months
10	Harvinder Singh	AAUPS1629R	18 months
11	Puja Arora	AHLPA1290Q	18 months
12	Gagan Arora	AAKPA9468J	18 months
13	Om Prakash Tarsem Chand	AAGPG5608L	18 months
14	Mukesh Steel Traders (Prop. Mukesh Gupta)	AAHPG7994A	18 months
15	India Finsec Ltd.	AAACD2872L	18 months

The Order also states that the obligation of the aforesaid entities, in respect of settlement of securities, if any, purchased or sold in the cash segment of the recognized stock exchange(s), as existing on the date of the Order, can take place irrespective of the restraint/ prohibition imposed by the Order only, in respect of pending unsettled transactions, if any. Further, all open positions, if any, of the entities debarred in the present Order, in the F&O segment of the stock exchanges, are permitted to be squared off, irrespective of the restraint/ prohibition imposed by the Order.

The Order shall come into force with the immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory - Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr Tanmay Rane (Extn.: 23346), Mr Ashish Tiwari (Extn:22403)

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**For and on behalf of
National Stock Exchange of India Limited**

**Binoy Yohannan
Chief Manager**