

National Stock Exchange Of India Limited**Department: INVESTIGATION**

Download Ref No.: NSE/INVG/46996

Date: January 12, 2021

Circular Ref No.: 05/2021

To All NSE Members

Sub: SAT Order in the matter of Guinness Securities Limited (Soumen Chatterjee)

This is with reference to NSE Circular No. NSE/INVG/39703 dated December 19, 2018 with respect to SEBI Order No. WTM/AB/SEBI/ERO/08/2018 dated December 19, 2018 whereby SEBI had restrained certain entities from accessing the securities market and further prohibited them from buying, selling or otherwise dealing in securities, either directly or indirectly, or being associated with the securities market in any manner whatsoever till further directions.

Further, with reference to NSE Circular No. NSE/INVG/41773 with respect to SEBI Order No. WTM/AB/SEBI/ERO/16/2019-20 dated July 31, 2019, SEBI had confirmed the directions issued in the aforesaid ex-parte interim order.

SAT now vide its Order, under Appeal No. 27 of 2020 dated January 07, 2021, stated that the aforesaid ex-parte interim order dated 19th December, 2018 as confirmed by the order dated 31st July, 2019 cannot be sustained and allowed to continue in so far as it relates to the appellant Mr. Soumen Chatterjee (PAN - AKFPC1442D).

The Order shall come into force with the immediate effect.

The detailed order is available on SAT website (<http://www.sat.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under "Domestic Investors – Regulatory - Regulatory Actions".

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

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**For and on behalf of
National Stock Exchange of India Limited**

**Binoy Yohannan
Chief Manager**