

National Stock Exchange Of India Limited**Department : INVESTIGATION**

Download Ref No: NSE/INVG/45443

Date : August 21, 2020

Circular Ref No: 138/2020

To All NSE Members

Sub: SAT Order in respect of Yatin B. Shah

This is with reference to NSE circular no. NSE/INVG/44758 dated Jun 23, 2020 referring to SEBI Order No. WTM/AB/IVD/ID2/7988/2020-21 dated June 23, 2020 has directed that the various entities including Yatin B. Shah (AQSPS3457R) were restrained from accessing the securities market and further prohibited from buying, selling or otherwise dealing in securities in any manner whatsoever, either directly or indirectly, for a period of 02 (Two) years from the date of coming into force of the Order.

SAT vide its order dated August 06, 2020 have stated that the effect and operation of the impugned order in so far as it relates to the Yatin B. Shah shall remain stayed till the next date of hearing.

The detailed order is available on SAT website (<http://www.sat.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under "Home-Regulation-Members-Action against Members-Regulatory Actions".

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms. Meenakshi Nayak (Extn: 22392), Mr. Chirag Jain (Extn: 22480)

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For and on behalf of
National Stock Exchange of India Limited

Rahul Jahagirdar
Chief Manager