

National Stock Exchange Of India Limited**Department : INVESTIGATION**

Download Ref No: NSE/INVG/45356

Date : August 17, 2020

Circular Ref No: 136/2020

To All NSE Members

Sub: SAT Order in respect of Harivallabh Mundra

This is with reference to NSE circular no. NSE/INVG/44119 dated April 14, 2020 referring to SEBI Order No. WTM/MPB/EFD-DRA-III/117/2020 dated April 13, 2020 has directed that the various entities including Harivallabh Mundra (AAAPM8378D) were restrained from accessing the securities market and buying, selling or dealing in securities, either directly or indirectly in whatsoever manner, from the date of the order till the period mentioned in the order.

SAT vide its order dated August 10, 2020 have stated that the impugned order dated April 13, 2020 is quashed and the appeal is allowed in so far as it relates to Harivallabh Mundra.

The detailed order is available on SAT website (<http://www.sat.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under "Home-Regulation-Members-Action against Members-Regulatory Actions".

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms. Meenakshi Nayak (Extn: 22392), Mr. Chirag Jain (Extn: 22480)

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**For and on behalf of
National Stock Exchange of India Limited**

**Rahul Jahagirdar
Chief Manager**