

National Stock Exchange Of India Limited

Department : INVESTIGATION

Download Ref No.: NSE/INVG/45174

Date: July 30, 2020

Circular Ref No.: 117/2020

To All NSE Members

Sub: SEBI Order in the matter of Dhyana Finstock Limited

This is with reference to NSE Circular No. NSE/INVG/32492 dated June 01, 2016 with respect to SEBI Order No. WTM/RKA/ISD/59/2016 dated June 01, 2016.

SEBI now vide its Order No. WTM/SM/IVD/ID8/8388/2020-21 dated July 29, 2020 has stated that the proceedings against the following entities who are already under the restraint placed by the interim order dated June 01, 2016, are disposed of without any further directions of debarment but they are directed to disgorge the amounts of unlawful gains earned by them as determined in the order:

No.	Entity Name	PAN
1	Sanjay Nandlalbhai Parelia HUF	AATHS5168J
2	Ramilaben B Patel	AUHPP8735C
3	Gunjan Rajendrakumar Patel	BFPPP4718F
4	Rajendra Dahyalal Pathak	ACKPP8546L
5	Shalomiben Anilbhai Bariya	AOEPJ9432R
6	Birju Pravinchandra Sanghvi	ALLPS1169E
7	Noorbanu Farooq Hawa	AAAPH8271Q
8	Mathivanan M	AAEPM4368F
9	Shailesh Baldevbhai Patel	AOEPP2788Q
10	Azim Farooq Hawa	AAAPH2774G
11	Zahir Farooq Hawa	AAAPH2775H
12	Harshaddkumar Baldevbhai Patel	AOKPP9234R
13	Farooq Kasam Hawa	AAAPH2775H
14	Baldevbhai Shankerlal Patel	ACIPP5953F
15	Gaurang Pathak	BSLPP1179H
16	Ankit Rajeshbhai Rajput	AAFHR7898G
17	Kalpesh Ugarchand Gadhecha	ABTPG3143L
18	Ronak Nayankumar Shah	FKQPS0981B
19	Dholakia Jayshree Kishor	AMLPD5973G
20	Jayshreeben Kiritkumar Shah	BQMPS6009Q
21	Chandrikaben Naranbhai Panchal	BXQPP2080R
22	Kiritbhai Shantilal Shah	AWRPS2401E
23	Naranbhai J Panchal	AMQPP0054P
24	Dholakiya Kishorbhai S	ANHPD1507F
25	Rinkeshkumar N Panchal	BYCPP1543E
26	Hiteshkumar Mahipatlal Patel	APOPP1463R
27	Manish Shah	BFOPS3849R

28	Anilbhai Bhalabhai Baria	BWMPB2794M
29	Hiral Manish	AZQPM9451N
30	Rohitkumar Shantilal Shah	EHJPS4683H
31	Hareshkumar P Patel	BFMPP8817G
32	Gautamsingh Shivsingh Zala	AAIPZ3605N

Further following entities are debarred from accessing the securities market and further prohibited from buying, selling or otherwise dealing in securities, directly or indirectly in any manner, for respective periods specified herein below and are also directed to disgorge the unlawful gains earned by them as determined in this order:

No.	Entity Name	PAN	Period of Debarment
1	Dhyana Finstock Ltd	AABCP8561B	5 Years
2	Harshadkumar Patel	AGMPP7021Q	5 Years
3	Rajeshkumar Theophilbhai Christie	AKAPC0179L	5 Years
4	Pritesh Patel	AJIPP7200L	5 Years
5	Nandlalbhai Ghanshyambhai Parelia HUF	AAKHP5230R	2 Years
6	Harshaben Alpeshbhai Lakhani	ABRPL3759P	2 Years
7	Dilipbhai Kantilal Patel	AQSPP8355K	2 Years
8	Manishaben Bhavanbhai Munjani	BHBPM1185P	2 Years
9	Mihir Consultancy & Trading Company	BQEPP5529G	2 Years
10	AA Plus Commodity Broking Pvt Ltd	AAHCA2831P	2 Years
11	Priti Jayakarbhai Christian	AQBPC1558Q	5 Years
12	Mainak Comtrade Private Limited	AAGCM5750N	5 Years
13	Pranatapal Tradelink Private Limited	AAFCP5109D	5 Years
14	Parin Infrastructure Private Limited	AAGCP6T96D	5 Years
15	Taru Pallav Projects Private Limited	AADCT4840M	5 Years
16	Tosif Yunusbhai Amroniya	ALPPA5918B	6 Months
17	Devangkumar Arvindkumar Jani	AFHPJ7902E	6 Months
18	Purvesh Mansukhlal Shah HUF	AALHP2441M	6 Months
19	Dixit Mansukhlal Shah HUF	AAGHD7158E	6 Months
20	Shushilaben M Shah	AZFPS1106D	6 Months
21	Mansukhlal K Shah HUF	AAKHM9690N	6 Months
22	Mansukhlal K Shah	AJCPD0760B	6 Months
23	Vishnubhai Arjanbhai Desai	AJTPD6594J	3 Months
24	Jerambhai Arjanbhai Desai	BWMPB3400Q	3 Months
25	Dipakkumar Rajaram Joshi	AOEPJ9432R	1 Year
26	Chetan Marutirao Yangalwar HUF	AAAJC0621R	3 Months
27	Hitesh Chinubhai Shah	BGAPS9446R	3 Months
28	Ravi Dipakbhai Joshi	AHBPJ4545M	6 Months
29	Babubhai Kalabhai Bambhroliya	AUSPB3607L	6 Months
30	Labhuben Babubhai Bambhroliya	AUSPB3608F	6 Months
31	Nimesh Jitendrabhai Purani	ASXPP1371L	1 Year
32	Bimesh Arvindbhai Jani	AHYPJ5331Q	1 Year
33	Ruchirani Shah	AHGPR7583J	6 Months
34	Dixit M Shah	AVSPS3790G	6 Months
35	Varsha Dixit Shah	ACMPS3879B	6 Months
36	Purvesh Mansukhbhai Shah	AVSPS3792E	6 Months
37	Alkesh M Patel HUF	AAIHA8200Q	3 Months

38	Alkesh Maheshchandra Patel	AAMPP7018F	3 Months
39	Bhavesh Ishwarlal Panchasara	AODPP1375E	1 Year
40	Pratikbhai Kiritkumar Shah	BQHPS3816E	1 Year
41	Amit Dipakbhai Gajjar	AVWPG6845L	1 Year
42	Shah Chirag	BBWPS5965G	1 Year
43	Nikunj Dineshkumar Soni	BGJPS9140K	1 Year
44	Manisha Rajendra Modi	ALTPM1311L	1 Year
45	Rahim Umarbhai Ravkarda	AWPPR6156H	6 Months
46	Yogendra J Prajapati	CIYPP6021L	6 Months
47	Prajapati Nilesh J	BXCPP8877J	2 Years
48	Manthan Rajendrabhai Modi	COPPM3699G	6 Months

Further the proceedings initiated against Marutirao Tukaram Yangalwar (AABPY3816H) are disposed off for the reasons stated in the SEBI Order.

The Order states that during the period of restraint, the existing holding of securities of the entities including units of mutual funds, shall remain frozen.

The order also clarifies that while calculating the period of debarment for the following entities the period of restraint already undergone on account of interim order shall be adjusted in respect of the following entities so restrained by the interim order.

No.	Entity Name	PAN
1	Dhyana Finstock Ltd	AABCP8561B
2	Harshadkumar Patel	AGMPP7021Q
3	Rajeshkumar Theophilbhai Christie	AKAPC0179L
4	Pritesh Patel	AJIPP7200L
5	Priti Jayakarabhai Christian	AQBPC1558Q
6	Mainak Comtrade Private Limited	AAGCM5750N
7	Pranatpal Tradelink Private Limited	AAFCP5109D
8	Parin Infrastructure Private Limited	AAGCP6T96D
9	Taru Pallav Projects Private Limited	AADCT4840M

Obligation of the aforesaid entities, in respect of settlement of securities, if any, purchased or sold in the cash segment of the recognized stock exchange(s), as existing on the date of this Order, can take place irrespective of the restraint/prohibition imposed by this Order, in respect of pending transactions, if any. Further, all open positions, if any, of the Noticees debarred in the present Order, in the F&O segment of the stock exchanges, are permitted to be squared off, irrespective of the restraint/prohibition imposed by this Order.

This order shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Home-Regulation-Members-Action against Members-Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr. Tanmay Rane (Extn: 23346), Mr. Chirag Jain (Extn: 22480)

Direct No: 022-26598417/18 Fax: 022-26598195

For and on behalf of



National Stock Exchange of India Limited

Binoy Yohannan
Chief Manager