

National Stock Exchange Of India Limited**Department : INVESTIGATION**

Download Ref No: NSE/INVG/42941

Date : December 18, 2019

Circular Ref No: 339/2019

To All NSE Members

Sub: SEBI Order in the matter of Kelvin Fincap Limited- In Respect of Inventure Finance Pvt. Ltd

This is with reference to NSE circular no. NSE/INVG/27361 dated August 18, 2014 referring to SEBI ad-interim ex-parte order no. WTM/RKA/103/ISD/2014 dated August 14, 2014 and NSE circular no. NSE/INVG/29334 dated March 31, 2015 referring to SEBI order no. WTM/RKA/ISD/26/2015 dated March 31, 2015.

SEBI now, vide its Order no. WTM/SM/IVD/ID7/6091/2019-20 dated December 13, 2019 has inter-alia stated that further relaxation from the operation of the interim order dated August 14, 2014 and March 31, 2015 may be granted to the applicant, to facilitate its business operations. Accordingly, the request made by the applicant that in case of default by its clients, it may be permitted to directly sell the shares of clients pledged with it without prior approval of the Stock Exchange, is hereby acceded to, however, the same shall be subject to the conditions as mentioned in the said order.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory -Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr. Rishav Raj (Extn: 22371), Mr. Chirag Jain (Extn: 22480)

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**For and on behalf of
National Stock Exchange of India Limited**

**Binoy Yohannan
Chief Manager**