

National Stock Exchange Of India Limited**Department : INVESTIGATION**

Download Ref No: NSE/INVG/42769

Date : November 28, 2019

Circular Ref No: 329/2019

To All NSE Members

Sub: SEBI Order in respect of Mr. Shivraj Puri, Mr. Raghu Raj Puri and Ms. Deeksha Puri in the matter of unregistered Portfolio Manager activities

SEBI, vide its order no. WTM/SM/IVD/ID4/5759/2019-20 dated November 28, 2019, hereby restrain the following entities from accessing the Securities Market and further prohibit them from buying, selling or otherwise dealing in securities, directly or indirectly in any manner, for the period from the date of this order as indicated below:

Sr. No.	Name of Entity	PAN No.	Period (years)
1	Mr. Shivraj Puri	AEXPP9796B	Three
2	Mr. Raghu Raj Puri	AEXPP7964B	Two
3	Ms. Deeksha Puri	AETPP5950M	Two

It is clarified that during the period of restraint, the existing holding of securities of the Noticees including units of mutual funds, shall remain frozen.

The Order shall come into force with the immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory -Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms. Sayali Bhalerao (Extn: 22374), Mr. Chirag Jain (Extn: 22480)

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**For and on behalf of
National Stock Exchange of India Limited**

**Binoy Yohannan
Chief Manager**