

**National Stock Exchange Of India Limited****Department : INVESTIGATION**

Download Ref No: NSE/INVG/42764

Date : November 27, 2019

Circular Ref No: 328/2019

To All NSE Members

**Sub: Addendum in the matter of 3M Team Research Pvt. Ltd. and Mr. Rakesh Sethi**

This is with reference to NSE Circular No. NSE/INVG/42736 dated November 26, 2019 referring to SEBI order no. WTM/MB/WRO/WRO/5737/2019-20 dated November 26, 2019 wherein SEBI directed to restrain Mr. Rakesh Sethi (ATIPS2321R) from buying, selling or dealing in the securities market or associating himself with the securities market, either directly or indirectly, in any manner whatsoever, till further directions.

SEBI now vide its order no. WTM/MB/WRO/WRO/5737A/2019-20 dated November 27, 2019 has directed that:

“If Mr. Rakesh Sethi has any open position in any exchange traded derivative contracts, he is permitted to close out/ square off such open positions on or before expiry of such contracts.”

The Addendum shall be read as part of the Order dated November 26, 2019.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory -Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms. Khyati Vidwans (Extn: 22325), Mr. Chirag Jain (Extn: 22480)

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For and on behalf of

National Stock Exchange of India Limited

Binoy Yohannan

Chief Manager