

National Stock Exchange Of India Limited**Department : INVESTIGATION**

Download Ref No: NSE/INVG/42528

Date : October 29, 2019

Circular Ref No: 327/2019

To All NSE Members

Sub: Addendum in the matter of Incap Financial Services Limited.

This is with reference to NSE circular no NSE/INVG/42487 dated October 23, 2019 in the matter of Incap Financial Services Limited wherein SEBI vide its Order no. WTM/GM/EFD/42/2019-20 dated October 23, 2019 has directed the following entities shall not access the securities market or buy, sell or otherwise deal in the securities market, either directly or indirectly for a period as mentioned below from the date of this SEBI order:

Sr. No.	Name of Entity	PAN	Period
1	Arvind Babulal Goyal	ACIPG0193J	4 years
2	Abhay Dattatray Javlekar	ACVPJ4679B	3 years
3	Pooja Goyal	AEHPG5959B	3 years

SEBI now, vide its Order no. WTM/GM/EFD/ 42A /2019-20 dated October 29, 2019 ordered that the directions issued vide the aforesaid Order shall not prohibit any of the above mentioned entities from squaring off their existing open positions in the Futures and Options segment, if any, immediately. The aforementioned entities shall not be allowed to take fresh positions or increase their open positions or execute trades.

This addendum shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory -Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms. Sheetal More (Extn: 22384), Mr. Chirag Jain (Extn: 22480)

Direct No: 022-26598417/18

Fax: 022-26598195

For and on behalf of
National Stock Exchange of India Limited

Binoy Yohannan
Chief Manager