

National Stock Exchange Of India Limited**Department : INVESTIGATION**

Download Ref No: NSE/INVG/42410

Date : October 15, 2019

Circular Ref No: 318/2019

To All NSE Members

Sub: SAT Order in respect of Dheeraj Shah and Sheetal Dheeraj Shah

This is with reference to NSE Circular No. NSE/INVG/28938 dated February 20, 2015 referring to SEBI Order No. WTM/RKA/ ISD/09/2015 dated February 20, 2015 restraining/ prohibiting various entities from accessing the securities market and from buying, selling or dealing in securities, either directly or indirectly, in any manner till further directions

SAT now vide its order dated October 14, 2019 has disposed of the appeal holding that the restraint order restraining the appellants Dheeraj Shah (ARWPS1458D) and Sheetal Dheeraj Shah (BPFPS4170G) from buying, selling or otherwise dealing in securities, directly or indirectly, or being associated with the securities market in any manner, whatsoever will come to an end from today.

The detailed order is available on SAT website (<http://www.sat.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under "Domestic Investors– Regulatory - Regulatory Actions".

This Order shall come into force with immediate effect.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

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For and on behalf of

National Stock Exchange of India Limited

Binoy Yohannan

Chief Manager