

National Stock Exchange Of India Limited
Department : INVESTIGATION

Download Ref No: NSE/INVG/42106

Date : September 11, 2019

Circular Ref. No: 301/2019

To All NSE Members,

Sub: Modification of client codes of institutional trades executed on Stock Exchange (All Segments)

This is in continuation to Exchange circular ref no. NSE/INVG/2019/41356 dated June 20, 2019 and NSE/INVG/2019/41459 dated June 28, 2019 regarding providing of reasons for client code modification of non-institutional and error trades from July 01, 2019 onwards.

Members are hereby informed that in addition to submission of reasons for modification of non-institutional and error trades they would now be required to provide reasons for client code modification of institutional trades (wherein original client code & modified client code both are of institutional category and have different PANs) as well. The facility shall be provided in ENIT w.e.f September 16, 2019.

Further, the facility of providing the reasons based on objective criteria will be provided in ENIT portal at: Compliance -> Client Code Modification-> Client Code Modification Reason.

The data for modification will be available on ENIT portal on a T+1 basis.

Trading Members can select the trade date and segment to fetch the data. The reason for modification is mapped as following:

Reason Code	Description
1	Error due to communication or Punching/typing Error such that the original client code/name and the modified client code/name are similar to each other.
3	Allocation to related FPIs

Trading members are required to select any of the above reason codes for institutional modifications. File upload facility for the same is also available in ENIT portal.

Members are advised to take note of the same and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms. Radhalekshmi Pillai (Extn: 24057), Mr. Siddhant Gupta (Extn: 22404)

Direct No: 022-26598417/18

For and on behalf of
National Stock Exchange of India Limited

Avishkar Naik
Vice President

Telephone No	Fax No	Email id
+91-22-26598228	+91-22-26598195	inv@nse.co.in