

National Stock Exchange Of India Limited

Department : INVESTIGATION

Download Ref No: NSE/INVG/41773

Date : August 1, 2019

Circular Ref. No: 281/2019

To All NSE Members,

Sub: Confirmatory order in respect of Guinness Securities Limited and others

This is with reference to NSE Circular NSE/INVG/39703 dated December 19, 2018 referring to SEBI Order WTM/ AB / SEBI / ERO / 08 / 2018 dated December 19, 2018 restraining various entities from accessing the securities market and are further prohibited them from buying, selling or otherwise dealing in securities, either directly or indirectly, or being associated with the securities market in any manner whatsoever, till further directions .

SEBI now vide its Order no. WTM/AB/SEBI /ERO/16/2019-20 dated July 31, 2019 hereby confirm that the directions issued vide ex parte ad interim order dated December 19, 2018 as against the following entities:-

Sr.N	Entity Name	PAN
1	Guinness Securities Limited	AAACG9843L
2	Mr. Kamal Kumar Kothari	AKYPK8782D
3	Mr. Dharmendra Kothari	AFGPK6680M
4	Mr. Soumen Chatterjee	AKFPC1442D
5	Mr. Deepak Parakh	AFPPP0732C
6	Mr. Shree Kumar Jhanwar	ACIPJ0418R
7	Mr. Babulal Nolkha	ADHPN4106A
8	Ms. Sunita Kothari	AFNPK8049P
9	S K B Securities Ltd.	AAHCS3335G
10	Mr. Provat Mitra	ANDPM9486G
11	Mr. Somnath Bhattacharjee	ADXPB0019J
12	Ms. Lipika Bhattacharjee	ASSPB2706Q
13	Mr. Hemant Kothari	AFOPK6638P
14	Mr. Krishna Maheswari	Pan not provided
15	Mr. Aman Mohan Kothari	AUOPK5221B
16	Param Commodities Pvt. Ltd.	AAFCP0003L
17	Mr. Pawan Kumar Modi	AESPM0259F
18	Mr. Murlidhar Sharma	AJMPS1405N
19	Paramarth Agro Marketing	AAFCP0005N
20	Apurva Commodities Pvt. Ltd.	AAGCA3264P
21	Mr. Ram Avtar Sharma	ALGPS0914E
22	Awadhoot Marketing Pvt. Ltd.	AAECA9172C
23	Mr. Sudarshana Mitra	AHBPM6874G
24	Mr. Shyamal Mitra	AHBPM6875H
25	Mr. Abhijit Pal	Pan not provided
26	Mr. Gaurav Choudhary	AFSPC6498P
27	Superfast Tours And Travels	AAECS4607A
28	Mr. Mahabir Chand Jain	ACHPJ8058N
29	Mr. Jayant Kumar Jain	AGUPJ4377R

Further SEBI revoked the ex-parte ad - interim order dated December 19, 2018 against the following entities:-

Sr.	Entity Name	PAN
1	Mr. Tarun Kanti Sengupta	AJDPS7741N
2	Mr. Kunal Vasumallik	ABFPV8759F
3	Mr. Dipak Rudra	ACHPR3694J
4	Mr. Asish Kumar Ray	ADEPR1722M
5	Mr. Pradeep Kumar Sarkar	ABVPS7800E
6	Mr. Kalyan Mukherjee	AHQPM8981K
7	Mr. Prasant Ray	AANPR2831B

This order shall continue to be in force till further directions/ orders.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory – Regulatory Actions”.

Members are advised to take note of the above.

In case of any further queries, members are requested to contact the following officials:

Mr Megh Maheshwari (Extn: 22383), Mr. Chirag Jain (Extn: 22480)

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For and on behalf of
National Stock Exchange of India Limited

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Vice President

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