

National Stock Exchange Of India Limited
Department : INVESTIGATION

Download Ref No: NSE/INVG/39970

Date : January 17, 2019

Circular Ref. No: 16/2019

To All NSE Members,

Sub: SEBI order in the matter of Ficus securities Pvt. Ltd & Ficus Commodities Pvt. Ltd. & Others

This is with reference to NSE circular no. NSE/INVG/37248 dated March 20, 2018 referring to SEBI order no. WTM/MPB/NRO/154/2018 dated March 20, 2018 restraining the below mentioned entities from accessing the securities market and further prohibiting them from buying, selling or otherwise dealing in securities, either directly or indirectly, or being associated with the securities market in any manner whatsoever, till further directions.

Sr.No.	Name of the Entity	PAN
1	FICUS SECURITIES PVT. LTD.	AAACV0429K
2	FICUS COMMODITIES PVT. LTD.	AABCV4377P
3	MR. VINOD KUMAR BANSAL	AAGPB8255Q
4	MR. SURENDER SINGH	EFTPS4215D
5	MS. NEENA BANSAL	AIDPB7112P
6	MR. PRASHANT KUMAR NAYAK	AFTPN9303D
7	MS. POONAM RAJBHAR	AGQPR0343D
8	MS. TRIPTA KAPOOR	ASVPK5946Q
9	MS. SHABNAM JOHN	AGUPJ1830F

SEBI, now vide its order no. WTM/MPB/ NRO /06/2019 dated January 17, 2019 has confirmed the directions issued vide ex parte ad interim order dated March 20, 2018 against all the entities, except in respect of Mr. Prashant Kumar Nayak. As regards Mr.Prashant Kumar Nayak, the directions issued vide the interim order shall cease to continue from the date of this order.

The above order shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under "Domestic Investors – Regulatory – Regulatory Actions".

Members are advised to take note of the above.

In case of any further queries, members are requested to contact the following officials:

Ms. Sheetal Prabhu (Extn: 22384), Mr. Chirag Jain (Extn: 22480)

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For and on behalf of

National Stock Exchange of India Limited

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