

National Stock Exchange Of India Limited
Department : INVESTIGATION

Download Ref No: NSE/INVG/39733

Date : December 21, 2018

Circular Ref. No: 172/2018

To All NSE Members,

Sub: SEBI Order in the matter of Grass Root Finance & Investment Company (India) Ltd

This is with reference to NSE circular no. NSE/INVG/36138 dated October 18, 2017 referring to SEBI order no. WTM/GM/CFD/68/2017-18 dated October 18, 2017 restraining/prohibiting Grass Root Finance & Investment Company (India) Ltd and 13 other entities from accessing the securities market and buying, selling or otherwise dealing in the securities market, either directly or indirectly, or associating themselves with any listed company or company intending to raise money from the public.

SEBI, now vide its order no. WTM/AB/EFD-1/DRA-3/09/2018-19 dated December 20, 2018 has restrained following persons/entities and prohibited them from buying, selling or otherwise dealing in the securities market, directly or indirectly in whatsoever manner, from the date of this Order till the period as mentioned below:

Name of Entity	PAN	Period of Debarment
Grass Root Finance & Investment Company (India) Limited	AABCG8309G	4 years from the date of completion of refunds to investors as directed in SEBI order
Jiten Chandra Bora	AFEPB6968F	
Pradip Sarmah	PAN not provided	
Jagneswar Saikia	BKHPS7213P	
Karuna Borah	AMGPB0071J	
Gopi Bhuyan Ram	BESPB9975E	
Harisa Goyari	ALEPO0460F	
Bikash Roy	AVBPR4652F	
Keshab Gogoi	PAN Not Provided	
Pradip Hazarika	FRJPS4703M	4 years
Khargeswar Das	PAN Not Provided	
Bhupen Burha Gohain	PAN Not Provided	
Guluk Gogoi	PAN Not Provided	

Further, in view of the death of Maniram Hazarika (PAN not provided), the present proceedings against him stands abated.

The above directions shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory – Regulatory Actions”.

Members are advised to take note of the above.

In case of any further queries, members are requested to contact the following officials:
Ms. Heena Wadhwa(Extn: 25366), Mr. Chirag Jain (Extn: 22480)
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For and on behalf of
National Stock Exchange of India Limited

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