

## National Stock Exchange Of India Limited

HERE

**Department : INVESTIGATION**

Download Ref No: NSE/INVG/39703

Date : December 19, 2018

Circular Ref. No: 170/2018

To All NSE Members,

### Sub: SEBI order in respect of Guinness Securities Limited and others

SEBI, vide its order no. WTM/ AB / SEBI / ERO / 08 / 2018 dated December 19, 2018 has restrained below mentioned entities from accessing the securities market and are further prohibited them from buying, selling or otherwise dealing in securities, either directly or indirectly, or being associated with the securities market in any manner whatsoever, till further directions:-

| Sr.No | Entity Name                              | PAN                                             |
|-------|------------------------------------------|-------------------------------------------------|
| 1     | Guinness Securities Limited              | AAACG9843L                                      |
| 2     | Mr. Kamal Kumar Kothari                  | AKYPK8782D                                      |
| 3     | Mr. Dharmendra Kothari                   | AFGPK6680M                                      |
| 4     | Mr. Soumen Chatterjee                    | AKFPC1442D                                      |
| 5     | Mr. Tarun Kanti Sengupta                 | AJDPS7741N                                      |
| 6     | Mr. Kunal Vasumallik                     | ABFPV8759F                                      |
| 7     | Mr. Dipak Rudra                          | ACHPR3694J                                      |
| 8     | Mr. Deepak Parakh                        | AFPPP0732C                                      |
| 9     | Mr. Shree Kumar Jhanwar                  | ACIPJ0418R                                      |
| 10    | Mr. Babulal Nolkha                       | ADHPN4106A                                      |
| 11    | Mr. Asish Kumar Ray                      | ADEPR1722M                                      |
| 12    | Ms. Sunita Kothari                       | AFNPK8049P                                      |
| 13    | Mr. Pradeep Kumar Sarkar                 | ABVPS7800E                                      |
| 14    | Mr. Kalyan Mukherjee                     | AHQPM8981K                                      |
| 15    | Mr. Prasant Ray                          | AANPR2831B                                      |
| 16    | S K B Securities Ltd.                    | AAHCS3335G (As informed by SEBI vide its email) |
| 17    | Mr. Provat Mitra                         | ANDPM9486G                                      |
| 18    | Mr. Somnath Bhattacharjee                | ADXPB0019J                                      |
| 19    | Ms. Lipika Bhattacharjee                 | ASSPB2706Q                                      |
| 20    | Mr. Hemant Kothari                       | AFOPK6638P                                      |
| 21    | Mr. Krishna Maheswari                    | Pan not provided                                |
| 22    | Mr. Aman Mohan Kothari                   | AUOPK5221B                                      |
| 23    | Param Commodities Pvt. Ltd.              | AAFCP0003L                                      |
| 24    | Mr. Pawan Kumar Modi                     | AESPM0259F                                      |
| 25    | Mr. Murlidhar Sharma                     | AJMPS1405N                                      |
| 26    | Paramarth Agro Marketing Private Limited | AAFCP0005N                                      |
| 27    | Apurva Commodities Pvt. Ltd.             | AAGCA3264P                                      |
| 28    | Mr. Ram Avtar Sharma                     | ALGPS0914E                                      |
| 29    | Awadhoot Marketing Pvt. Ltd.             | AAECA9172C                                      |
| 30    | Mr. Sudarshana Mitra                     | AHBPM6874G                                      |
| 31    | Mr. Shyamal Mitra                        | AHBPM6875H                                      |
| 32    | Mr. Abhijit Pal                          | Pan not provided                                |
| 33    | Mr. Gaurav Choudhary                     | AFSPC6498P                                      |
| 34    | Superfast Tours And Travels Pvt. Ltd.    | AAECS4607A                                      |
| 35    | Mr. Mahabir Chand Jain                   | ACHPJ8058N                                      |
| 36    | Mr. Jayant Kumar Jain                    | AGUPJ4377R                                      |

The above order shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory – Regulatory Actions”.

Members are advised to take note of the above.

In case of any further queries, members are requested to contact the following officials:

Ms. Rakhi Kherwasiya (Extn: 25379), Mr. Chirag Jain (Extn: 22480)

Direct No: 022-26598417/18

**For and on behalf of**  
**National Stock Exchange of India Limited**

**Avishkar Naik**  
**Vice President**

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