

National Stock Exchange Of India Limited

Department : INVESTIGATION

Download Ref No: NSE/INVG/39616

Date : December 07, 2018

Circular Ref. No: 167/2018

To All NSE Members,

Sub: SEBI Order in the matter of GMS infrastructure Ltd.

This is with reference to NSE circular no. NSE/INVG/37046 dated February 23, 2018 referring to SEBI order no. WTM/GM/IMD/ 93 /2017-18 dated February 23, 2018 had restrained various persons/entities from accessing the securities market and buying, selling or otherwise dealing in securities in any manner whatsoever, directly or indirectly, or associate themselves with any listed company or company intending to raise money from the public.

SEBI, now vide its order no. WTM/AB/EFD-1/DRA-1V/05/ 2018-19 dated December 07, 2018 has restrained and prohibited following entities from buying, selling or otherwise dealing in the securities market, directly or indirectly in whatsoever manner, from the date of this Order, till the expiry of four years from the date of completion of refunds to investors as directed in the order:-

Sr. No.	Entity name	PAN
1	GMS Infrastructure Limited	AAECG0030B
2	Ashim Mitra	AKDPM2024K
3	Sanjoy Mitra	AHKPM6164A
4	Lopamudra Bandyapadhyay	AQFPB2001D
5	Biswajit Das	AMPPD6913J
6	Dinesh Chowhan	ANDPC7427M
7	Chandan Biswas	ALIPB5094L
8	Rajesh Singh	CLKPS6324N

Further SEBI has restrained and prohibited following entities from buying, selling or otherwise dealing in the securities market, directly or indirectly in whatsoever manner, for a period of four years:-

Sr. No.	Entity name	PAN
1	Soumen Paul	BEEPP5894Q
2	Aparna Roy Chowdhury	AHOPR4164P
3	Mina Mukherjee	ATQPM8850R
4	Gita Karmakar	BCLPK7391E
5	GMS Multi Marketing Services Pvt. Limited	AADCG6529L

However vide interim order dated February 23, 2018, the above five entities have already undergone prohibition for more than 9 months. Hence, the prohibition already undergone by the said five entities pursuant to the interim order shall be adjusted while computing the period in respect of prohibition imposed vide this order.

Further the directions against Shri Tumpa Mitra Dutta (PAN: BAKPD5407K) and Shri Shib Shankar Ghosh (PAN: ATKPG5933F) in the interim order are hereby revoked.

The above directions shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory – Regulatory Actions”.

Members are advised to take note of the above.

In case of any further queries, members are requested to contact the following officials:

Ms. Rakhi Kherwasiya (Extn: 25379), Mr. Chirag Jain (Extn: 22480)

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For and on behalf of
National Stock Exchange of India Limited

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