



NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INVESTIGATION	
Download Ref. No.: NSE/INVG/37442	Date: April 05, 2018
Circular Ref. No.:- 58/2018	

To All NSE Members

Sub: SEBI Order in the matter of illiquid stock options

This is with reference to NSE circular no. NSE/INVG/33037 dated August 23, 2016 referring to SEBI confirmatory order no. WTM/RKA/ISD/102/2016 dated August 22, 2016, NSE circular no. NSE/INVG/32906 dated August 1, 2016 referring to SEBI confirmatory order no. WTM/RKA/ISD/90/2016 July 30, 2016 and NSE circular no. NSE/INVG/30562 dated August 20, 2015 referring to SEBI order no. WTM/RKA/ISD/106/2015 restraining persons/entities as mentioned therein from accessing the securities market and buying, selling or dealing in the securities, either directly or indirectly, in any manner, till further directions.

SEBI now, vide its Order no. WTM/MPB/IVD-ID8/161/2018 dated April 05, 2018 has disposed of the proceedings initiated vide Interim Order dated August 20, 2015 as confirmed vide Orders dated July 30, 2016 and August 22, 2016, against all the entities (Attached as **Annexure I**), with immediate effect without any further directions

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors– Regulatory - Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:
Mr. Rishav Raj (Extn: 22371), Ms. Radhalekshmi Pillai (Extn: 24057)
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For National Stock Exchange of India Limited

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