
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INVESTIGATION	
Download Ref. No.: NSE/INVG/37398	Date: April 02, 2018
Circular Ref. No.:- 57/2018	

To All NSE Members

Sub: SEBI Order in the matter of First Financial Services Ltd.

This is with reference to NSE circular no. NSE/INVG/28405 dated December 20, 2014 referring to SEBI order no. WTM/RKA/ ISD/162/2014 dated December 19, 2014 restraining 152 persons/entities from accessing the securities market and further prohibited them from buying, selling or dealing in the securities market either directly or indirectly, in any manner till further directions.

Further with reference to NSE circular no. NSE/INVG/30471 dated August 11, 2015 referring to SEBI order no. WTM/RKA/ISD/105/2015 dated August 11, 2015 had restrained 2 entities from accessing the securities market and further prohibited them from buying, selling or otherwise dealing in the securities market, either directly or indirectly, in any manner till further directions.

Further with reference to NSE circular no. NSE/INVG/33064 dated August 25, 2016 referring to SEBI order No. WTM/RKA/ISD/113/2016 dated August 25, 2016 had revoked the directions against 5 noticees, contained in the ad interim ex-parte order; and NSE circular no. NSE/INVG/35754 dated September 06, 2017 referring to SEBI order no. WTM/SR/SEBI/EFD-DRA3/71/09/2017 dated September 06, 2017 had disposed off interim orders and revoked the directions issued vide interim orders qua 91 entities with immediate effect.

SEBI vide its order no. WTM/GM/EFD/ 1 /2018-19 dated April 02, 2018 hereby restrained the Noticees listed hereunder, from accessing the securities market and further prohibit them from buying, selling or otherwise dealing in securities, directly or indirectly, or being associated with the securities market in any manner, whatsoever, for a period of three years, from the date of this order. Some of the Noticees listed below, are already undergoing such directions, subject to reliefs granted to them while confirming those directions against them on earlier occasions, and they shall continue to be restrained or prohibited from accessing the market or trading, as the

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case may be, for a further period of three years from today and continue to enjoy the benefit of such reliefs as granted by the Board earlier.

Sr No	Name of the entity	PAN
1	First Financial Services Ltd.	AAACF1145J
2	Nirmal Singh Mertia	AKHPM8437G
3	S Krishna Rao	AGWPR3410R
4	Ponuswammy Natarajan	AAAPN9499G
5	Prem Lata Nahar	AFAPN8764M
6	B P Jhunjhunwala	ACVPJ5021H
7	B P Jhunjhunwala & others HUF	AACHB0680D
8	Anil Agrawal - HUF	AACHA9591E
9	Anil Agarwal (Karta)	ACTPA6034D
10	Gokul Securities Private Ltd.	AADCG7372B
11	Syncom Formulations (India) Ltd.	AAFCS6794R
12	Rajendrakumar Agarwal - HUF	AAEHR7685G
13	Rajendrakumar Agarwal (Karta)	AEUPA5643K
14	Ritesh Agarwal - HUF	AAMHR6805C
15	Ritesh Agarwal (Karta)	ADMPA2038F
16	Shilpa Agarwal	AERPJ3347N
17	Suresh Kumar Khandelia	ABSPK3417A
18	Manju Khandelia	ABSPK3421A
19	Bharatbhai Nathabhai Buha	AAWPB3665Q
20	Ashokbhai Nathabhai Buha	AECPB5885J
21	Ranisati Dealer Pvt. Ltd.	AADCR7368C
22	Padma Impex Pvt. Ltd.	AAACL4269P
23	Global Infratech and Finance Ltd.	AABCA4255H
24	Comfort Fincap Ltd.	AABCP4792J
25	Comfort Intech Ltd.	AAACC5567H
26	Comfort Securities Ltd.	AABCC9625R
27	Kuber Kamna Marbles Pvt. Ltd.	AABCK7530K
28	Adhunik Transport Organisation Ltd.	AAACA4457G
29	Bina H Mehta	AFRPM2040L

As against 35 noticees (as mentioned in Annexure I), the interim directions issued vide interim orders dated December 19, 2014 and August 11, 2015 and confirmed vide confirmatory orders dated April 20, 2015, June 02, 2016, June 14, 2016 and August 25, 2016 shall stand revoked, with immediate effect as directed in SEBI order.

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The above directions shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory – Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms. Khushboo Maniyar (Extn: 25413), Ms. Radhalekshmi Pillai (Extn: 24057)

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For National Stock Exchange of India Limited

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