

NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : INVESTIGATION**

Download Ref. No.: NSE/INVG/ 37248

Date: March 20, 2018

Circular Ref. No.: 43/2018

To All NSE Members

Sub: SEBI Order in the matter of Ficus Securities Pvt. Ltd. and Ficus Commodities Pvt. Ltd.

SEBI vide its order no. WTM/MPB/NRO/ 154 /2018 dated March 20, 2018 restrained from accessing the securities market and are further prohibited from buying, selling or otherwise dealing in securities, either directly or indirectly, or being associated with the securities market in any manner whatsoever, till further directions :-

Sr. No.	Name of Entities	PAN
1	Ficus Securities Pvt. Ltd	AAACV0429K
2	Ficus Commodities Pvt. Ltd	AABCV4377P
3	Mr. Vinod Kumar Bansal	AAGPB8255Q
4	Mr. Surender Singh	EFTPS4215D
5	Ms. Neena Bansal	AIDPB7112P
6	Mr. Prashant Kumar Nayak	AFTPN9303D
7	Ms. Poonam Rajbhar	AGQPR0343D
8	Ms. Tripta Kapoor	ASVPK5946Q
9	Ms. Shabnam John	AGUPJ1830F

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory – Regulatory Actions”.

The above directions shall come into force with immediate effect.

Regd. Office: Exchange Plaza, Plot No. C/1, G-Block, Bandra-Kurla Complex, Bandra (E), Mumbai 400 051, India.

CIN: U67120MH1992PLC069769 · Tel: +91 22 26598129 / 022-26598166 · Fax: +91 22 26598195 Web site: www.nseindia.com

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr. Abhijeet Shripad Extn: 22393), Mr. Amit Kursija (Extn: 22382)

Direct No: 022-26598417/18

For National Stock Exchange of India Limited

Avishkar Naik

Assistant Vice President

Telephone No	Fax No	Email id
+91-22-26598228	+91-22-26598195	inv@nse.co.in

Regd. Office: Exchange Plaza, Plot No. C/1, G-Block, Bandra-Kurla Complex, Bandra (E), Mumbai 400 051, India.

CIN: U67120MH1992PLC069769 · Tel: +91 22 26598129 / 022-26598166 · Fax: +91 22 26598195 Web site: [www.nseindia .com](http://www.nseindia.com)