

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INVESTIGATION	
Download Ref.No.: NSE/ INVG /37053	Date : February 26 , 2018
Circular Ref.No.: 29/2018	

To All Members

Sub: UCI-Provisional Pending Codes

This is in continuation to Exchange circular ref no NSE/INVG/2009/13540 dated November 25, 2009, to facilitate members to upload pending client code details to the Exchange, provisional pending codes (PPC) files are downloaded containing list of client codes that have traded for the current day and for which PAN details have not been uploaded.

In view of the introduction of Cross Currency Derivatives, the Exchange will be downloading an additional fifth PPC file after 8:30 pm which shall contain list of client codes for all segments which have traded during the day but for which PAN details have not been uploaded after considering client code modifications done during the day.

All PPC files will be downloaded to members on the below path:

Member Portal> UCI Online> Download>Provisional Pending Codes

However, there is no change in existing PPC schedule.

The list of client codes for which PAN details have not been successfully uploaded till the end of the trading day will be provided in the INP file the next day on the path:

Member Portal> UCI Online> Download> INP File.

These codes will attract non-submission charges of Rs.10,000/- per day per code till the time the details are successfully uploaded to the Exchange.

This circular shall be applicable from February 27, 2018

Members kindly take a note of this and act accordingly to avoid any non-compliance

In case of any queries or clarifications for UCC, please contact on 1800 220 051(select option 5) or email at uci@nse.co.in.

For and on behalf of
National Stock Exchange of India Limited

Avishkar Naik
Assistant Vice President

Telephone No	Fax No	Email id
+91-22-26598228	+91-22-26598195	invsg@nse.co.in