



NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INVESTIGATION	
Download Ref. No.: NSE/INVG/36769	Date: January 17, 2018
Circular Ref. No.:- 07/2018	

To All NSE Members

Sub: SEBI Order in the matter of Neesa Technologies Limited in respect of Mr. Girishchandra Mukundram Baluni (PAN: AAKPB5408R)

This is with reference to NSE circulars no. NSE/INVG/ 29877 dated June 03, 2015 referring to SEBI order no. WTM/SR/SEBI –WRO/108/06/2015 dated June 03, 2015; NSE/INVG/ 32516 dated June 03, 2016 referring to SEBI order no. WTM/PS/46/WRO/JUN/2016 dated June 02, 2016, NSE/INVG/ 35181 dated June 22, 2017 referring to SAT order dated June 20, 2017 and NSE/INVG/ 35878 dated September 08, 2017 referring to SEBI order no. WTM/MPB/EFD-1-DRA-IV/29/2017 dated September 20, 2017.

SEBI, now vide its order no. WTM/MPB/EFD-1- DRA-IV/125/2018 dated January 16, 2018 has inter-alia stated that due to the urgent medical needs of Mr. Girishchandra Mukundram Baluni and the claimed insufficiency of funds to meet the medical needs, Mr. Baluni is permitted to approach CDSL within 10 days of receipt of this order with the cost estimate of the prescribed Surgery duly certified by the Hospital/Registered Doctor and CDSL on verification of the same will defreeze the shares held in demat account i.e. BOID1204470001178840 with IIFL to the extent of the said cost estimation plus 50% for incidentals for a period of 10 days from the date of receipt of cost estimate and will instruct the respective stock broker to liquidate shares to the said extent.

The above directions shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory-Regulatory Actions”.

Regd. Office: Exchange Plaza, Plot No. C/1, G-Block, Bandra-Kurla Complex, Bandra (E), Mumbai 400 051, India.

CIN: U67120MH1992PLC069769 · Tel: +91 22 26598129 / 022-26598166 · Fax: +91 22 26598195 Web site: www.nseindia.com

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Ms. Khushboo Maniyar (Extn: 25413), Mr. Soumil Shah (Extn: 22385)

Direct No: 022-26598417/18

For National Stock Exchange of India Limited

Avishkar Naik

Assistant Vice President

Telephone No	Fax No	Email id
+91-22-26598228	+91-22-26598195	inv@nse.co.in

Regd. Office: Exchange Plaza, Plot No. C/1, G-Block, Bandra-Kurla Complex, Bandra (E), Mumbai 400 051, India.

CIN: U67120MH1992PLC069769 · Tel: +91 22 26598129 / 022-26598166 · Fax: +91 22 26598195 Web site: [www.nseindia .com](http://www.nseindia.com)