



NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INVESTIGATION	
Download Ref. No.: NSE/INVG/36190	Date: October 27, 2017
Circular Ref. No.:- 186/2017	

To All NSE Members

Sub: SEBI Order in the matter of Global Mount Money Research and Advisory Private Limited and others

SEBI vide its order no. WTM/MPB/SEBI/EFD-DRA4/72/2017 dated October 27, 2017 has inter alia stated that the below mentioned entities are prohibited from accessing the capital market directly or indirectly, and dealing in securities or instruments with Indian securities as underlying, in any manner whatsoever, for the period mentioned below:-

Sr. No.	Name of Entities	PAN	Period
1	M/s Global Mount Money Research and Advisory Pvt. Ltd.	AAECG8055A	2 Years
2	Ajay Kumar	BJPPK5750E	2 Years
3	Arpit Kothari	ASJPK7357H	5 Years
4	Rajeev Singh Rajput	AQNPR9629P	7 Years

The above directions shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors– Regulatory - Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:
Ms. Shalmali Kulkarni (Extn: 22370), Mr. Soumil Shah (Extn: 22385)
Direct No: 022-26598417/18

Regd. Office : Exchange Plaza, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051

For National Stock Exchange of India Limited

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