
NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : INVESTIGATION**

Download Ref. No.: NSE/INVG/35757

Date: September 06, 2017

Circular Ref. No.: 137/2017

To All NSE Members

Sub: Sebi Order in respect of M/s Kassa Finvest Private Limited, Mr. Ashok Kumar, Mr. Umashankar Sharan Shrivastav, Mrs. Anjana Kumar, Mr. Siddharth Shankar, Ms. Nitika Shankar, Mr. Manoj Kumar Agrawal, M/s Kassa Holdings & Consultants Private Limited, M/s Kassa Financial Advisors Private Limited, M/s Mystic Cures Pvt. Ltd., M/s Mille Roses Ltd, Malta, M/s Doyen Vyapaar Pvt. Ltd., M/s Guru Trading, M/s GVC Capital, M/s AARB Capital, M/s G&G Impex, M/s Durgamaya Advisors Pvt. Ltd., M/s Primavalue Capital Advisors Pvt. Ltd. and Mr. Anil Kumar Dhawan

This is with reference to NSE circular no NSE/INVG/29197 and NSE circular no NSE/INVG/29209 referring to SEBI order no. WTM/RKA/NRO/19/2015 dated March 19, 2015 interalia restraining few below mentioned entities from accessing the securities market and further prohibited them from buying, selling or otherwise dealing in the securities, either directly or indirectly, or being associated with the securities market in any manner whatsoever, with immediate effect, till further directions.

SEBI vide its order no. SEBI/ WTM/SR/EFD-DRA-II/66/09/2017 dated September 05, 2017 has interalia stated that the below mentioned entities are prohibited from accessing the securities market and further prohibited from buying, selling or dealing in securities, either directly or indirectly or being associated with the securities market in any manner whatsoever, for a period of **ten years** from the date of this Order:-

Sr. No.	Name of Entities	PAN
1	M/s Kassa Finvest Private Limited	AAACK1206B
2	Mr. Ashok Kumar	AAFPK7362N
3	Mr. Umashankar Sharan Shrivastav	AGKPS7923C
4	Mrs. Anjana Kumar	AHOPK6281C

Regd. Office : Exchange Plaza, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051

5	Mr. Siddharth Shankar	AAMPS8754R
6	Ms. Nitika Shankar	AADPB9990K
7	Mr. Manoj Kumar Agrawal	AAKPA9123E
8	M/s Kassa Holdings & Consultants Private Limited	AAACK1208R
9	M/s Kassa Financial Advisors Private Limited	AAACR1298Q
10	M/s Mystic Cures Pvt. Ltd.	AACCM9841L
11	M/s Guru Trading	AADPB9990K
12	M/s GVC Capital	AADPB9990K
13	M/s AARB Capital	AADPB9990K
14	M/s G&G Impex	AADPB9990K
15	M/s Durgamaya Advisors Pvt. Ltd.	Pan Not Available
16	M/s Primavalue Capital Advisors Pvt. Ltd.	AAFCP8202R
17	Mr. Anil Kumar Dhawan	AGZPD4794P

The above directions shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors– Regulatory - Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:
Ms. Vineet Bhatt (Extn: 22378), Mr. Amit Kursija (Extn: 22382)
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For National Stock Exchange of India Limited

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