



NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INVESTIGATION	
Download Ref. No.: NSE/INVG/35752	Date: September 06, 2017
Circular Ref. No.:- 133/2017	

To All NSE Members

Sub: Sebi Order in the matter of Rotam Commercials Limited (presently known as Sword-Edge Commercials Limited)

This is with reference to NSE circular no NSE/INVG/27756 referring to SEBI order no. WTM/SR/ID-5/77/09/2014 dated October 01, 2014 prohibition of below mentioned entities buying, selling or dealing in the securities market, in any manner whatsoever for a period of five years.

Further reference to NSE circular no NSE/INVG/33038 referring to SAT Appeal No.390 of 2014 and Appeal No.178 of 2015 dated August 22, 2016 quashed the impugned order dated October 01, 2014 qua the appellants Mr. Krunal Gopaldas Rana [PAN: AKMPR9961M] and Krupa Sanjay Soni [PAN: BVSPS9740P] and restored to the file of WTM of SEBI for fresh decision on merits and in accordance with law.

Further reference to NSE circular no NSE/INVG/27830 referring to SAT Appeal No.312 of 2014 dated October 10, 2014, NSE circular no NSE/INVG/29933 referring to SEBI order no. WTM/SR/EFD/DRA-4/113/06/2015 dated June 11, 2015 and NSE circular no NSE/INVG/33785 referring to SAT Appeal No.430 of 2015 dated December 08, 2016 relating to Mr. Ashlesh Shah (PAN- AEOPS0718P).

SEBI vide its order no. WTM/SR/SEBI/EFD-DRA-4/65/09/2017 dated September 05, 2017 has interalia stated that the below mentioned entities are prohibited from buying, selling or dealing in the securities market, in any manner whatsoever, for the period mentioned below:-

Sr. No.	Name of Entities	PAN	Period
1	Ms. Krupa Sanjay Soni	BVSPS9740P	5 Years

Regd. Office : Exchange Plaza, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051

2	Mr. Krunal Gopal Das Rana	AKMPR9961M	4 Years
3	Mr. Ashlesh Shah	AEOPS0718P	3 Years

Kindly note that the period of prohibition already undergone by the above mentioned entities in terms of Order dated October 01, 2014 and June 11, 2015 shall be set-off from the period of prohibition imposed in this Order.

The above directions shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors– Regulatory - Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:
Ms. Vineet Bhatt (Extn: 22378), Mr. Amit Kursija (Extn: 22382)
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For National Stock Exchange of India Limited

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