
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INVESTIGATION	
Download Ref. No.: NSE/INVG/35742	Date: September 05, 2017
Circular Ref. No.:- 129/2017	

To All NSE Members

Sub: Sebi Order in respect of Mr. Allen James Macmillan in the matter of Home Trade Limited.

SEBI vide its order no. WTM/SR/SEBI/EFD-DRA1/ 68/09/2017 dated September 05, 2017 has interalia stated that Mr. Allen James Macmillan (PAN not provided) is restrained from buying, selling or otherwise dealing in securities in any manner whatsoever or accessing the securities market directly or indirectly, for a period of 10 years from the date of the said order.

The above directions shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors– Regulatory - Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:
Ms. Sheetal Prabhu (Extn: 22384), Mr. Amit Kursija (Extn: 22382)
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For National Stock Exchange of India Limited

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