
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INVESTIGATION	
Download Ref. No.: NSE/INVG/35694	Date: August 31, 2017
Circular Ref. No.:- 121/2017	

To All NSE Members

Sub: SAT Order in the matter of Dilip Pendse

This is with reference to NSE circular no. NSE/INVG/32622 dated June 21, 2016 referring to SEBI order no. WTM/PS/60/IVD/JUNE/2016 dated June 21, 2016 restraining Mr. Dilip Pendse among other entities from accessing the securities market and prohibiting them from buying, selling or otherwise dealing in securities market for a period of three (3) years.

SAT, now vide its order dated August 29, 2017 has stated that the appellant - Mr. Dilip Pendse has died during the pendency of the appeal. As a result, proceedings qua the appellant stands abated.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory – Regulatory Actions”.

The above directions shall come into force with immediate effect.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:
Mr. Abhishek Gangadharan (Extn: 23346), Ms. Radhalekshmi Umeshkrishna (Extn: 24057)
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