

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INVESTIGATION	
Download Ref. No.: NSE/INVG/35676	Date: August 29, 2017
Circular Ref. No.:- 118/2017	

To All NSE Members

Sub: Order in the matter of Orion Capital and Debt Market & Others

This is with reference to NSE circular no. NSE/INVG/32017 dated March 18, 2016 referring to SEBI order no. WTM/RKA/SRO/40/2016 dated March 18, 2016 Orion Capital and Debt Market (PAN: AACFO9456G), Orion Broking Services India Pvt. Ltd (PAN: AABCO2694L), Mr. Johnson P. Jose (PAN: AFZPJ2029Q), Ms. Cinzy Johnson (PAN: AHNPC5874A) and Mr. Joseph P C (PAN: AKUPJ0403G) from accessing the securities market and further prohibited from buying, selling or otherwise dealing in the securities, directly or indirectly, or being associated with the securities market in any manner, till further directions.

SEBI, now vide its order no. WTM/SR/SEBI/EFD-DRA2/52/08/2017 dated August 29, 2017 has passed the following direction:

Orion Capital and Debt Market (PAN: AACFO9456G), Orion Broking Services India Pvt. Ltd. (PAN: AABCO2694L), Mr. Johnson Puthiarambil Jose (PAN: AFZPJ2029Q), Mrs. Cinzy Johnson (PAN: AHNPC5874A) and Mr. Joseph Puthiarambil Chacko (PAN: AKUPJ0403G) are restrained and prohibited from buying, selling or otherwise dealing in the securities market, directly or indirectly in whatsoever manner for a period of ten (10) years from the date of the Order. This shall not prohibit Orion Capital and Orion Broking from effecting the settlement of claims of its clients.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory – Regulatory Actions”.

The above directions shall come into force with immediate effect.

Regd. Office: Exchange Plaza, Plot No. C/1, G-Block, Bandra-Kurla Complex, Bandra (E), Mumbai 400 051, India.

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Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:
Mr. Abhishek Gangadharan (Extn: 23346), Ms. Radhalekshmi Umeshkrishna (Extn: 24057)
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For National Stock Exchange of India Limited

Avishkar Naik
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