

NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : INVESTIGATION**

Download Ref. No.: NSE/INVG/35649

Date: August 23, 2017

Circular Ref. No.: 113/2017

To All NSE Members

Sub: Order in respect of Sri Ganesh Stocks and Shares, Mr. Venkatesan Chandran and Ms. K. Vijaya Bharathi

This is with reference to NSE circular no. NSE/INVG/29404 dated April 09, 2015 referring to SEBI order no. WTM/RKA/MIRSD/SRO/27/2015 dated April 08, 2015 restraining the following entities from accessing the securities market and further prohibited them from buying, selling or otherwise dealing in the securities, directly or indirectly, or being associated with the securities market in any manner:-

Sr. No.	Name of Entities	PAN
1	Ganesh Stocks and Shares	Pan not provided
2	Mr. C. Venkatesan	AADPC7641J
3	Ms. Vijaya Bharathi K	ABZPV9915D

SEBI, now vide its order no. WTM/SR/SEBI/EFD-DRA2/51/08 /2017 dated August 22, 2017 has passed the following directions:

- Mr. Venkatesan Chandran (PAN: AADPC7641J) and Ms. K. Vijaya Bharathi (PAN: ABZPV9915D) and their firm M/s Sri Ganesh Stocks and Shares are directed not to access the securities market directly or indirectly, and are further restrained and prohibited from buying, selling or otherwise dealing in the securities market, directly or indirectly for a period of 10 (ten) years from the date of this Order.
- Mr. Venkatesan Chandran (PAN: AADPC7641J) and Ms. K. Vijaya Bharathi (PAN: ABZPV9915D) and their firm M/s Sri Ganesh Stocks and Shares are also restrained from associating with any intermediary registered with SEBI in any official capacity till the expiry of 10 (ten) years from the date of this Order.

Regd. Office: Exchange Plaza, Plot No. C/1, G-Block, Bandra-Kurla Complex, Bandra (E), Mumbai 400 051, India.

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The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Domestic Investors – Regulatory – Regulatory Actions”.

The above directions shall come into force with immediate effect.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:
Mr. Abhishek Gangadharan (Extn: 23346), Ms. Radhalekshmi Umeshkrishna (Extn: 24057)
Direct No: 022-26598417/18

For National Stock Exchange of India Limited

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