

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/76673	Date: October 01, 2026
Circular Ref. No: 45/2026	

To All Trading Members,

Sub: Quarterly Cyber Incident reporting under Cyber Security & Cyber Resilience Framework for Regulated Entities (REs)

This is with reference to SEBI circular no. SEBI/HO/ ITD-1/ITD_CSC_EXT/P/CIR/2024/113 dated August 20, 2024 and Exchange circular no. NSE/INSP/63502 dated August 21, 2024 on Cyber Security & Cyber Resilience framework for SEBI Regulated Entities (REs).

In view of the above, REs/trading members are required to report Cyber Incident(s) for the quarter ending September 30, 2026, through member portal on or before October 15, 2026. The path for online submission of the Quarterly Cyber Incident Report is given below.

ENIT > ENIT-NEW-TRADE > Trade > Incident Report > Quarterly Report Submission.

In addition to the above for reporting of Immediate Cyber Incident, REs/trading members are requested to refer to Exchange circular no. NSE/INSP/66040 dated January 08, 2025, on Standard Operating Procedure (SOP) for handling Cyber Security Incidents.

The guidelines for submitting the Quarterly Cyber Incident Report is given in **Annexure 1**.

Trading Members are requested to refer Annexure - 1.2 of Exchange Circular Ref No. NSE/INSP/73792 dated April 17, 2026, on actions for non-compliances observed in periodic submissions by trading members related to the Quarterly Cyber Incident Reporting. The details of financial disincentive(s)/ Penalties/ disciplinary action(s) have been provided in **Annexure 2**.

All Trading Members are advised to take note of the above and comply.

In case of any query or support for cyber incident reporting, please reach us on email address:

DL-SYSCYB@nse.co.in

National Stock Exchange of India Limited

**For and on behalf of
National Stock Exchange of India Limited**

**Prashant Aier
Chief Manager – Inspection**

In case of any clarifications, Members may contact our below offices:

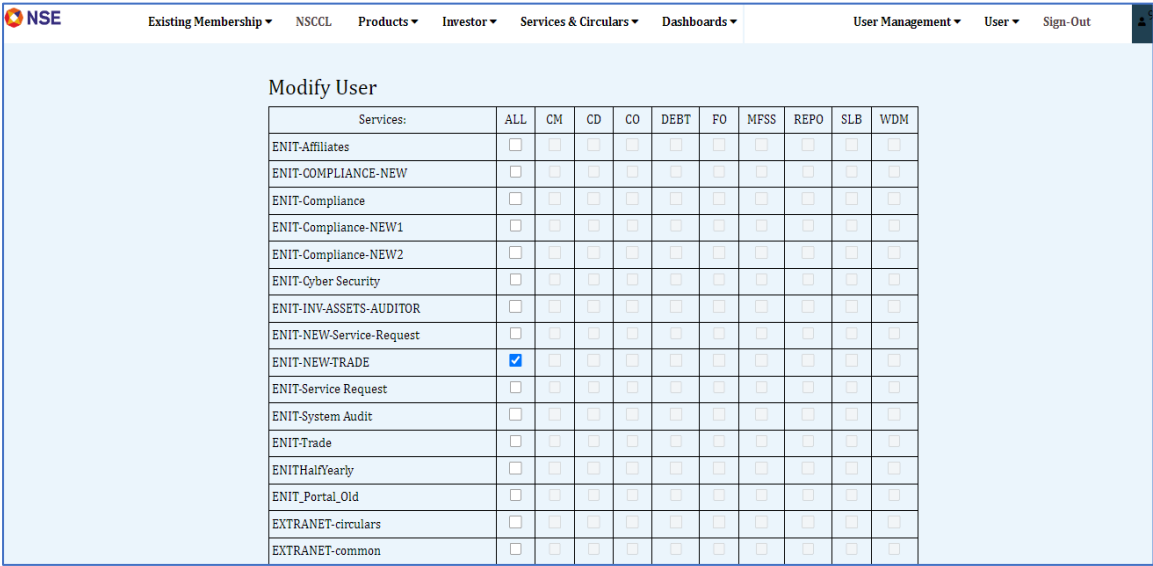
Regional Office	CONTACT NO.	E MAIL ID
Ahmedabad (ARO)	079-65278024/55	inspectionahm@nse.co.in
Chennai (CRO)	044- 66309915/17	inspection_cro@nse.co.in
Delhi (DRO)	011-23459146/127/144/147	delhi_inspection@nse.co.in
Kolkata (KRO)	033- 40400404/06	inspection_kolkata@nse.co.in
Mumbai (WRO)	022-61928200	compliance_wro@nse.co.in
Central Help Desk	compliance_assistance@nse.co.in	

National Stock Exchange of India Limited

Annexure 1

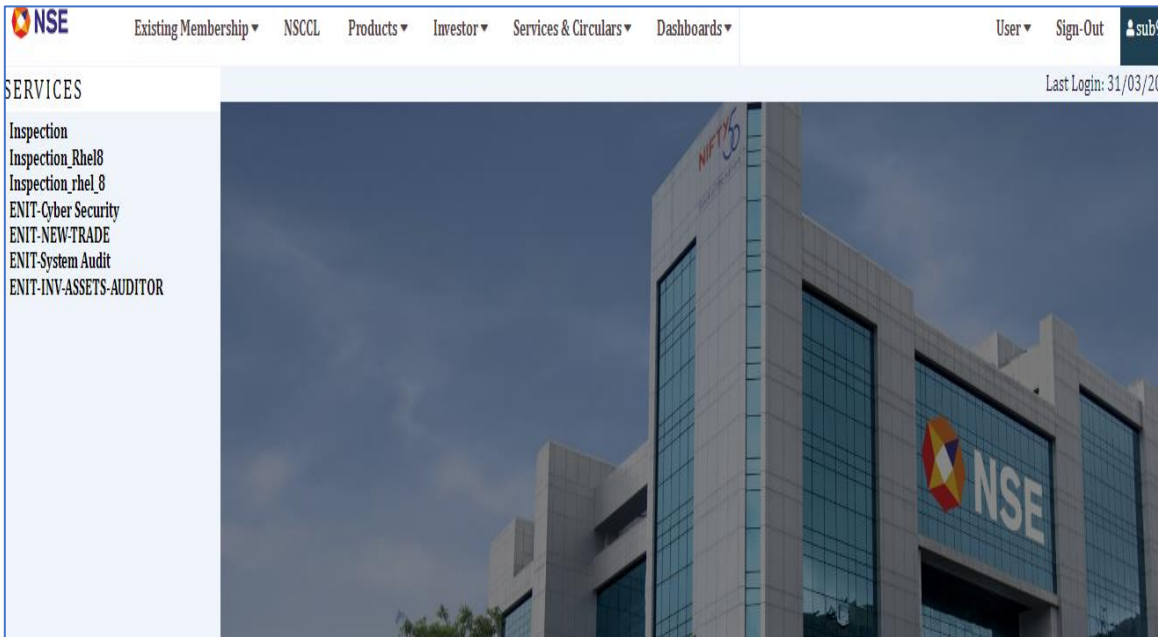
The guidelines for submitting the Quarterly Cyber Incident Report

- Admin needs to assign “ENIT New trade” role to designated officer in the Member Portal.



Services:	ALL	CM	CD	CO	DEBT	FO	MFSS	REPO	SLB	WDM
ENIT-Affiliates	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-COMPLIANCE-NEW	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Compliance	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Compliance-NEW1	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Compliance-NEW2	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Cyber Security	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-INV-ASSETS-AUDITOR	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-NEW-Service-Request	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-NEW-TRADE	☒	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Service Request	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-System Audit	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Trade	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENITHalfYearly	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT_Portal_Old	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
EXTRANET-circulars	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
EXTRANET-common	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐

- Sub User id having above mentioned ‘ENIT NEW TRADE’ role can see below screen after login. Click on ‘ENIT-NEW-TRADE’



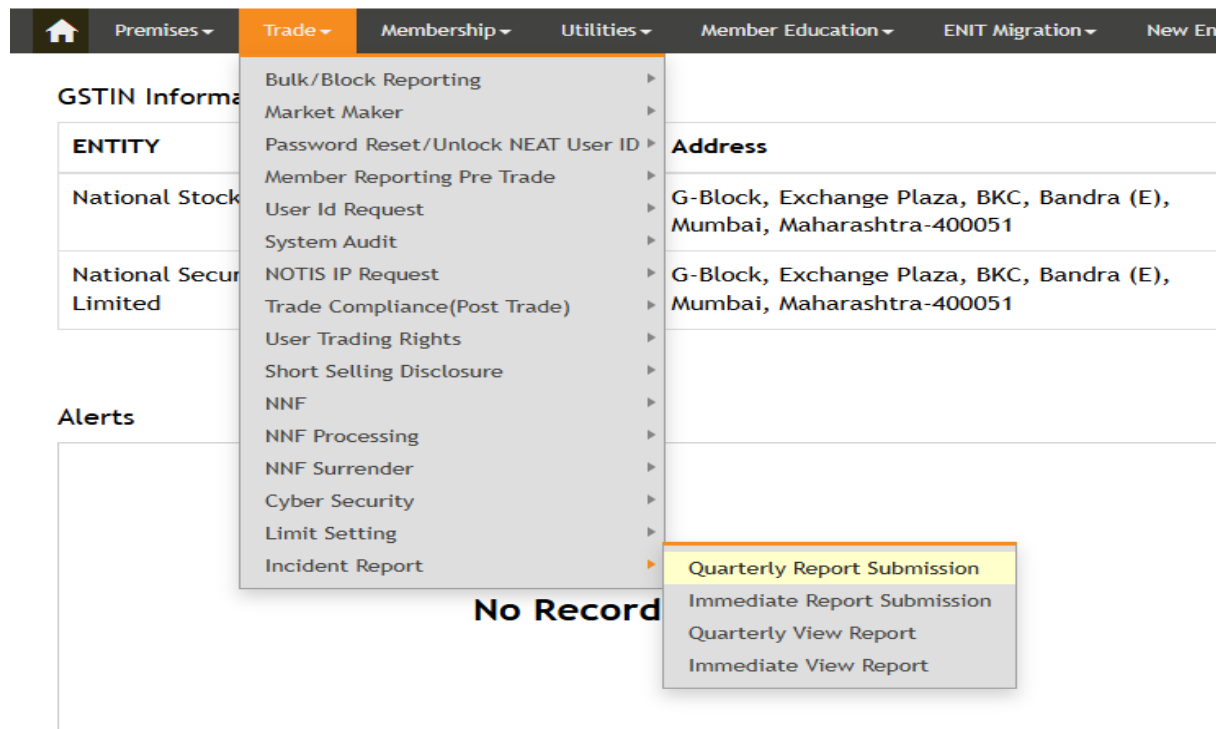
SERVICES

- Inspection
- Inspection_Rhel8
- Inspection_rhel_8
- ENIT-Cyber Security
- ENIT-NEW-TRADE
- ENIT-System Audit
- ENIT-INV-ASSETS-AUDITOR

Last Login: 31/03/20

National Stock Exchange of India Limited

3. Click on Trade > Incident Report > Quarterly Report Submission.

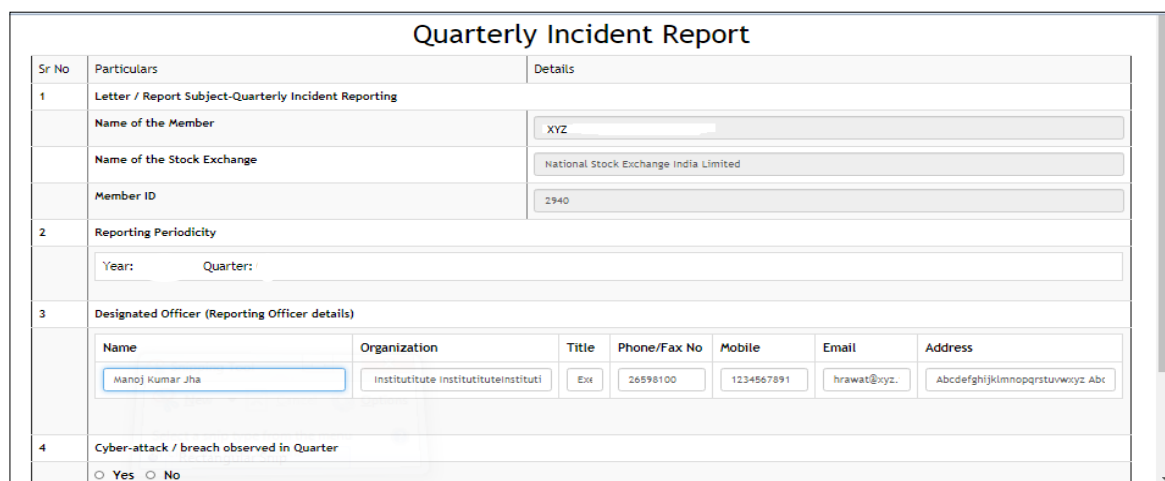


The screenshot shows the NSE member portal navigation menu. The 'Trade' menu is expanded, showing options like Bulk/Block Reporting, Market Maker, Password Reset/Unlock NEAT User ID, Member Reporting Pre Trade, User Id Request, System Audit, NOTIS IP Request, Trade Compliance(Post Trade), User Trading Rights, Short Selling Disclosure, NNF, NNF Processing, NNF Surrender, Cyber Security, Limit Setting, and Incident Report. The 'Incident Report' option is selected, leading to a sub-menu with 'Quarterly Report Submission', 'Immediate Report Submission', 'Quarterly View Report', and 'Immediate View Report'.

4. Click on 'Submit Details' button

S.No	Year	Quarter	Submission Start Date	Due Date	Submission
1	2026-27	Q2	October 01, 2026	October 15, 2026	Submit Details

5. Details for first 2 point will auto-populate in system. Enter Designated Officer details in 3rd point. Confirm in point no. 4 whether any breach observed by selecting 'Yes' or 'No'.



The screenshot shows the 'Quarterly Incident Report' form. It contains the following sections:

- 1. Letter / Report Subject-Quarterly Incident Reporting**: Fields for Name of the Member (XYZ), Name of the Stock Exchange (National Stock Exchange India Limited), and Member ID (2940).
- 2. Reporting Periodicity**: Fields for Year and Quarter.
- 3. Designated Officer (Reporting Officer details)**: Fields for Name (Manoj Kumar Jha), Organization (Institute InstituteInstitute), Title (Ex), Phone/Fax No (26598100), Mobile (1234567891), Email (hrawat@xyz.), and Address (Abcdefghijklmnopqrstuvwxyz Abc).
- 4. Cyber-attack / breach observed in Quarter**: Radio buttons for Yes and No.

National Stock Exchange of India Limited

6. If 'No' is selected in Point no. 4, a new field of 'Nil Submission' will auto-populate. Click the 'Nil Submission' check Box.

Quarterly Incident Report

Sr No	Particulars	Details														
1	Letter / Report Subject-Quarterly Incident Reporting															
	Name of the Member	XYZ														
	Name of the Stock Exchange	National Stock Exchange India Limited														
	Member ID	1940														
2	Reporting Periodicity															
	Year: 2023-24 Quarter: Q2															
3	Designated Officer (Reporting Officer details)															
	<table border="1"> <tr> <th>Name</th><th>Organization</th><th>Title</th><th>Phone/Fax No</th><th>Mobile</th><th>Email</th><th>Address</th></tr> <tr> <td>Manoj Kumar Jha</td><td>Institute InstituteInstitute</td><td>Exe</td><td>26998100</td><td>1234567891</td><td>hrawat@xyz.</td><td>Abcdefghijklmnopqrstuvwxyz Abc</td></tr> </table>	Name	Organization	Title	Phone/Fax No	Mobile	Email	Address	Manoj Kumar Jha	Institute InstituteInstitute	Exe	26998100	1234567891	hrawat@xyz.	Abcdefghijklmnopqrstuvwxyz Abc	
Name	Organization	Title	Phone/Fax No	Mobile	Email	Address										
Manoj Kumar Jha	Institute InstituteInstitute	Exe	26998100	1234567891	hrawat@xyz.	Abcdefghijklmnopqrstuvwxyz Abc										
4	Cyber-attack / breach observed in Quarter															
	☐ Yes ☒ No															
5	Brief Information															
	☒ Nil Submission															

7. In case "No" is selected user will be directed to save the draft and upload the report. In case "Yes" is selected, user can save the details of incident as per below print screen. User can save data on clicking 'Save as Draft' and can submit data later. After filling in all required details, click on 'Generate PDF' button for submitting the report.

10	IP Address of apparent or suspected source								
	<table border="1"> <tr> <th>Select</th><th>S.No.</th><th>Source IP address</th><th>Other information available</th></tr> <tr> <td>☐</td><td>1.</td><td></td><td></td></tr> </table>	Select	S.No.	Source IP address	Other information available	☐	1.		
Select	S.No.	Source IP address	Other information available						
☐	1.								
	Add Row Delete Row								
11	How many host(s) are affected								
	☐ 0 ☐ 1 to 10 ☐ 10 to 100 ☐ More than 100								
12	Whether any action has been taken for mitigation and any preventive measures applied ?								
	☐ Yes ☐ No								
	Save As Draft Generate PDF								

National Stock Exchange of India Limited

8. On Clicking “Incident Report” a PDF report will get downloaded. Check the PDF report and upload the incident report on clicking ‘Choose File’ button.

Please Note: To facilitate ease of submission for Quarterly/Immediate Incident Report the requirement of digital signature shall not be applicable.



NSE Member Code : Member Name : Signature Test Digital

Compliance for Audit/Auditor Compliance Trade Membership MIS/ISIN Member Education CRR Regulation

(* Indicates Mandatory) Incident Report Final Submission

Member Id:

Period of Audit: 2023-24-Q4

Please download Incident report and upload same for digital signing

Incident Report Click here to download Incident Report in PDF format.

Upload Incident Report* Choose File No file chosen

9. After uploading PDF file, click on ‘Submit’ button.



Member Id:

Period of Audit: 2023-24-Q4

Please download Incident report and upload same for digital signing

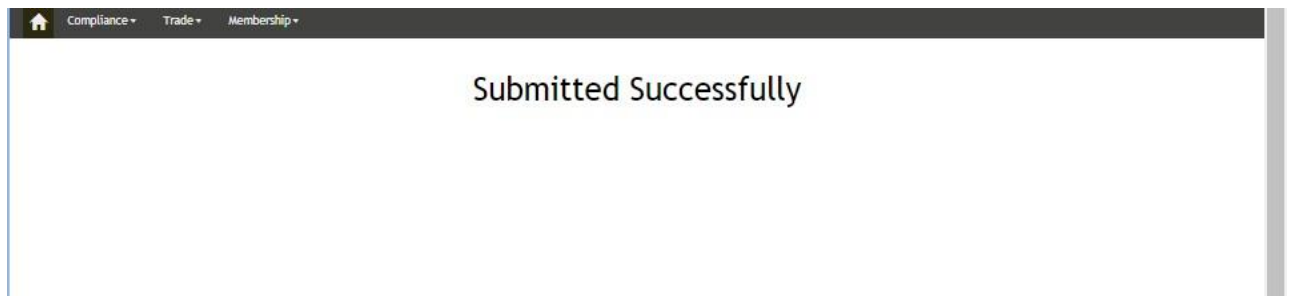
Incident Report Click here to download Incident Report in PDF format.

Upload Incident Report* Choose File Quarterly_1_173832.pdf

Submit

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User can see the status of the report on the below path:

ENIT-NEW-TRADE > Trade > Incident Report > Quarterly View Report

National Stock Exchange of India Limited

Annexure – 2

Indicative penalty for non-submission / late submission of cyber incident reporting (quarterly submission) within the time specified by the exchange.

The following penalty/ disciplinary actions would be initiated against the Trading Member for late/non-submission in this regard:

Details of Violation/contravention	Action in case of first instance	Action in case of repeat instance
Delay/Non-submission of Cyber Incident reporting (Quarterly Submission) within the due date	1.Charges Rs. 1,500/- per day for Non QRE & Rs. 3,000/- per day for QRE from the due date till first 7 calendar days or submission of report, whichever is earlier. 2.Charges of Rs. 2,500/- per day for Non QRE & Rs. 5,000/- per day for QRE from 8th calendar day after the due date to 21st calendar day or submission of report, whichever is earlier. 3.In case of non-submission of report till 21st calendar days, new client registration shall be prohibited and notice of 7 calendar days for disablement of trading facility till submission of report, shall be issued. 4.The disablement notice issued to the member will be shared with all the Exchanges for information. 5.In case of non-submission of report by 28th calendar day, Member shall be disabled in all segments till submission of report.	2nd Time & Onwards -Levy of applicable monetary penalty along with an escalation of 50%. In case of non-submission of report till 21st calendar days, new client registration shall be prohibited and notice of 7 calendar days for disablement of trading facility till submission of report, shall be issued. The disablement notice issued to the member will be shared with all the Exchanges for information. In case of non-submission of report by 28th calendar day, Member shall be disabled in all segments till submission of report.