

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/75505	Date: July 31, 2026
Circular Ref. No: 42/2026	

To All Trading Members,

Sub: FATF Public statement on jurisdictions on call for action and increased monitoring – June 2026 Plenary

This is with reference to Exchange Circular Ref. No. NSE/ISC/75328 dated July 22, 2026, on “FATF Public statement on jurisdictions on call for action and increased monitoring - June 2026 Plenary”.

In furtherance to the aforesaid Circular, all Members are required to update the actions taken by them in the prescribed format in FATF module in “ENIT New Compliance”. If the same is not applicable, Members may select ‘not applicable’ and submit the declaration. Detailed procedure has been explained in the user manual attached as **Annexure A**.

The due date for submission shall be **Friday, August 21, 2026**. All Members are advised to comply with the aforesaid requirements within the prescribed timelines to avoid any penal charges/disciplinary actions for the non/late submission of information towards FATF public statement as prescribed vide Exchange Circular Ref. No. NSE/INSP/73792 Dated April 17, 2026.

Members are advised to take note of the contents of the Circular and ensure compliance.

For and on behalf of
National Stock Exchange of India Limited

Kapil Marwah
Associate Vice President

National Stock Exchange of India Limited

In case of any clarifications, Members may contact our below offices:

Regional Office	CONTACT NO.	E MAIL ID
Ahmedabad (ARO)	079-65278024/55	inspectionahm@nse.co.in
Chennai (CRO)	044- 66309915/17	inspection_cro@nse.co.in
Delhi (DRO)	011-23459146/127/144/147	delhi_inspection@nse.co.in
Kolkata (KRO)	033- 40400404/06	inspection_kolkata@nse.co.in
Mumbai (WRO)	022-61928200	compliance_wro@nse.co.in
Central Help Desk	compliance_assistance@nse.co.in	

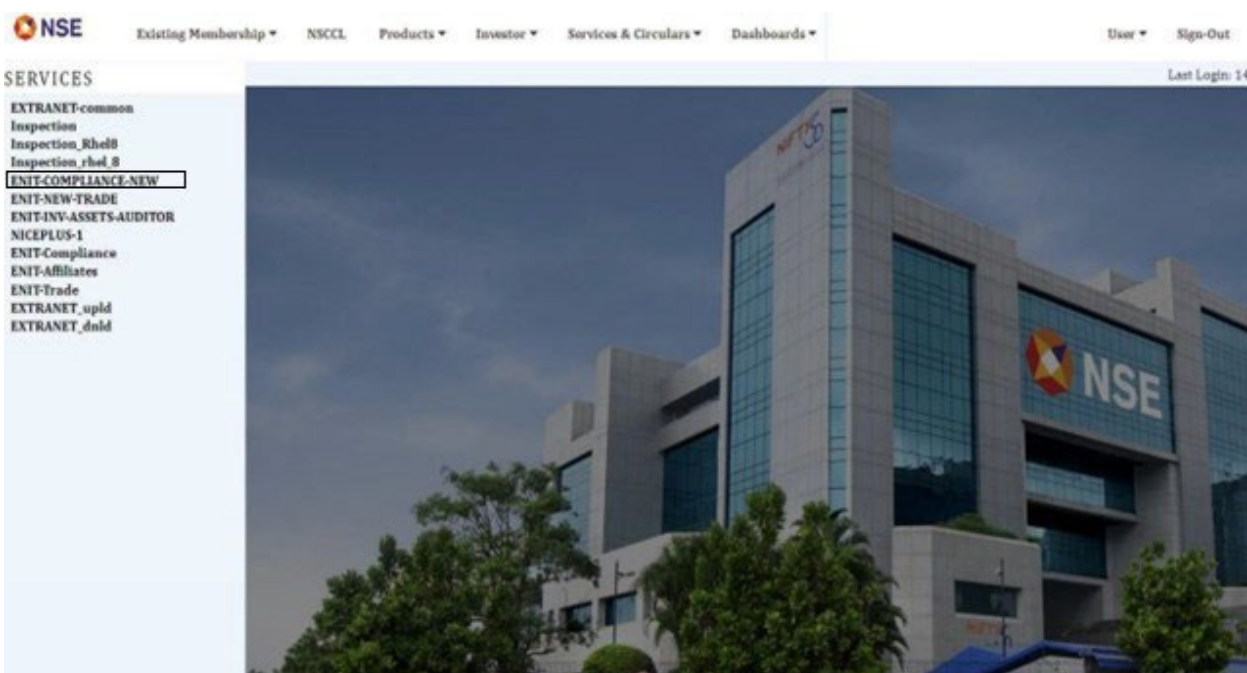
MANUAL FOR FATF SUBMISSION

Step 1. Open Internet Explorer browser from the desktop using the URL - <https://www.connect2nse.com/MemberPortal/home.jsp> or <https://enit.nseindia.com/MemberPortal/>
NSE Member Portal **Login** screen will open as below:



Step 2. The Member shall login to the Member Portal by using the login credentials.

Step 3. Upon log in, the following screen will be displayed, click on 'ENIT NEW COMPLIANCE'



Step 4. Upon clicking ENIT NEW COMPLAINEE, the Member can follow the path for FATF as – Compliance >> FATF >> Member Submission.

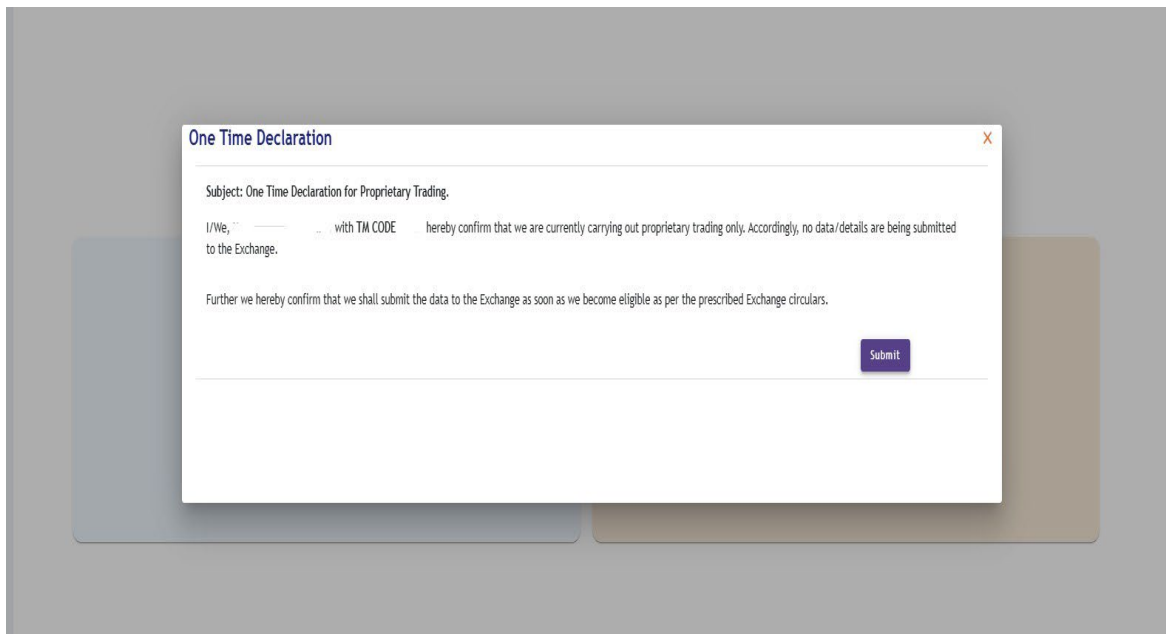
The screenshot displays the NSE ENIT portal interface. The top navigation bar includes the NSE logo and various menu items like 'Compliance for Assets Auditor', 'Premises', 'Compliance for auditor half yearly', 'Compliance', 'Trade', 'Trade+', 'Membership+', 'Member Education+', 'Utilities+', 'ENIT Migration+', 'New ENIT Migration+', 'Others+', 'Mock+', and 'Accounts+'. The 'Compliance' menu is expanded, showing a list of options including 'Advertisement', 'MTR', 'Member Details', 'Change in Membership Class', 'Trade Through Other Member', 'Authorized Person', 'Quarterly Compliance', 'Branch Office Address', 'Politically Exposed Person', 'UDI Registration', 'Director Details', 'Insurance', 'Key Management Personnel', 'Status Report', 'Half Yearly Networth Submission', 'GSTIN', 'Surrender', 'ML and AI', 'Margin Trading Approval Withdrawal', 'Client Code Modification', 'New Half Yearly Networth Submission', 'FATF' (highlighted), 'Internal Risk Assessment', 'Sub Broker', 'Annual Returns', 'Inventory of Assets', 'Registered Address', 'Change in Name', 'NSEIL CDS Instrument', 'Algorithmic Trading', 'Associate And Subsidiary', 'Additional Segment', 'Compliance with RPvD Act, 2016', 'E-Voting Facility', 'BO Facility Details', and 'Membership Certificate'. The 'FATF' option is selected, leading to the 'FATF DECLARATION MIS' page. This page contains a form with fields for 'Member Name', 'Request Reference No', and 'Country'. Below the form is a table with columns: 'Sr No', 'Request Ref No', 'Member Name', 'Member Code', 'Applicability', 'CountryName', 'Submitted Date', 'As On Date', 'Download PDF', 'Download Excel', and 'Delete'. The URL at the bottom is https://enit.nse.co.in/ENITRevampNRLV/newenit/fatfDeclarationMis#.

Step 5. Upon clicking Member Submission, below page will appear, and two options “One time declaration” and “Data Submission” will be displayed:

The screenshot shows the 'Member Submission Process' page on the NSE ENIT portal. The page features two large, prominent buttons: a light blue button labeled 'One Time Declaration' and an orange button labeled 'Data Submission'. The background is a light gray with a subtle grid pattern. The top navigation bar is consistent with the previous screenshot, showing the NSE logo and various menu items. The URL at the bottom is https://enit.nse.co.in/ENITRevampNRLV/newenit/fatfDeclarationMis#.

A. ONE-TIME DECLARATION FOR PROPRIETARY TRADING MEMBERS

Members who are carrying out proprietary trading only and no data is to be submitted to the Exchange, may submit “One time declaration” as below:



The screenshot shows a web form titled "One Time Declaration" with a close button (X) in the top right corner. The form contains the following text:

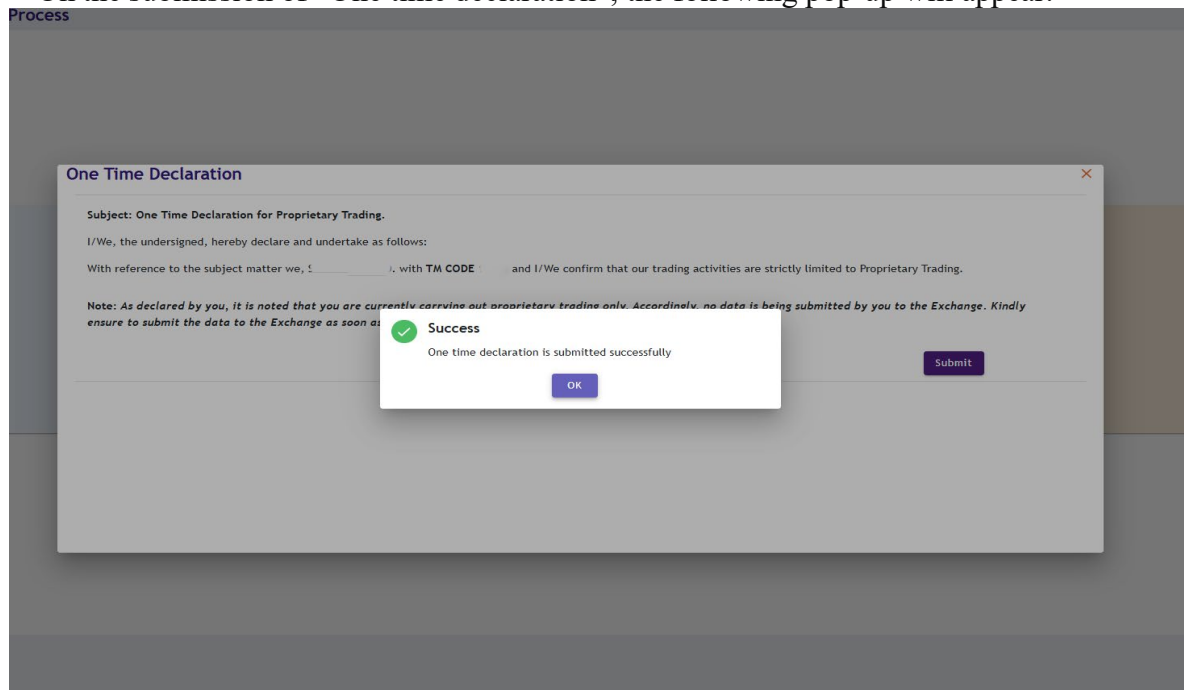
Subject: One Time Declaration for Proprietary Trading.

I/We, _____, with TM CODE _____ hereby confirm that we are currently carrying out proprietary trading only. Accordingly, no data/details are being submitted to the Exchange.

Further we hereby confirm that we shall submit the data to the Exchange as soon as we become eligible as per the prescribed Exchange circulars.

At the bottom right of the form is a purple "Submit" button.

On the submission of “One time declaration”, the following pop-up will appear:



The screenshot shows the same "One Time Declaration" form as above, but with a success pop-up displayed in the center. The pop-up has a green checkmark icon and the text:

Success
One time declaration is submitted successfully

At the bottom of the pop-up is an "OK" button. The background form is dimmed, and the "Submit" button is still visible at the bottom right.

B. MEMBERS OTHER THAN PROPRIETARY TRADING MEMBERS:

Members other than proprietary trading members shall submit their declaration in “Data Submission” Tab.

Select the “statement date” from the dropdown, and the below page will appear: -

High-Risk Jurisdiction subject to a call for Action	Whether you have clients belonging to this region?	Actions required	Upload Client Details
High-Risk Jurisdiction subject to a call for Action: Democratic Republic of Korea	Yes ☐ No ☐	Actions taken by you for supervision applicable sanctions based on FATF public Statements issued by FATF and disseminated by the Exchange, along with policy / process adopted for the same. Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions. List of circulars issued by Exchanges regarding FATF Public Statements which have been complied by you.	Upload Client Details Excel File: J_FATF_Client Click Here To Download Template Excel File
High-Risk Jurisdiction subject to a call for Action: Iran	Yes ☐ No ☐	Actions taken by you for supervision applicable sanctions based on FATF public Statements issued by FATF and disseminated by the Exchange, along with policy / process adopted for the same. Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions. List of circulars issued by Exchanges regarding FATF Public Statements which have been complied by you.	Upload Client Details Excel File: J_FATF_Client Click Here To Download Template Excel File
High-Risk Jurisdiction subject to a call for Action: Myanmar	Yes ☐ No ☐	Actions taken by you for supervision applicable sanctions based on FATF public Statements issued by FATF and disseminated by the Exchange, along with policy / process adopted for the same. Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions. List of circulars issued by Exchanges regarding FATF Public Statements which have been complied by you. FATF calls on its members and other jurisdictions to apply enhanced due diligence measures proportionate to the risk arising from Myanmar.	Upload Client Details Excel File: J_FATF_Client Click Here To Download Template Excel File
High-Risk Jurisdiction subject to a call for Action: Other Jurisdictions, Jurisdictions under Increased Monitoring: Algeria, Angola, Bahrain, Bangladesh, Cameroon, Cote d'Ivoire, Democratic Republic of the Congo, Haiti, Kenya, Kuwait, Lao PDR, Lebanon, Morocco, Namibia, Nepal, Papua New Guinea, South Sudan, Syria, Venezuela, Vietnam, Virgin Islands (UK), Yemen	Yes ☐ No ☐	Actions taken by you for supervision applicable sanctions based on FATF public Statements issued by FATF and disseminated by the Exchange, along with policy / process adopted for the same. Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions. List of circulars issued by Exchanges regarding FATF Public Statements which have been complied by you.	Upload Client Details Excel File: J_FATF_Client Click Here To Download Template Excel File

[Send Your Declaration](#)

Note: Content of Action required may vary from country to country. The above screen is only for reference.

For the jurisdiction and client details, members shall complete the applicable fields and upload client data in the exact jurisdiction sequence using the Excel provided as template without modifying its file name.

Step-by-Step Instructions

Step 1. Select Jurisdiction: From the first given jurisdiction, choose the applicability, in case there is no client in given jurisdiction select as not applicable and submit the form. In case the jurisdiction is applicable, fill the required details in the text area, without using any special characters.

Step 2. Download Template: Click the option to download the sample client Excel file for the specific jurisdiction.

Step 3. Complete Client Data: Open the downloaded file, add your client information, and save the file without changing its original file name.

Step 4. Upload File: Upload the client information Excel file into the system for the specific jurisdiction.

Step 5. Repeat Sequence: If you have multiple applicable jurisdictions, proceed to the next jurisdiction in the sequence and update the “Action Taken by Members/Participants” in text area, download the “template excel file”, enter the “client information”, and upload the template excel file in the system.

Step 6. After the abovementioned steps, click on the “Save and View Declaration”. On clicking, the following pop-up will appear-

The screenshot shows a web-based form for FATF declarations. A modal window with a green checkmark and the title "Success" is centered on the screen. The message inside the modal reads: "The FATF request is saved successfully but yet not submitted. Please upload digitally signed declarations file and submit to Exchange." with an "OK" button. The background form is partially visible and includes sections for "High-Risk Jurisdiction subject to a call for Action: Other Jurisdictions", "Declaration Document:" (with a rich text editor), "Declaration Note:" (with a bulleted list of confirmations), and "Digital Signature Process". At the bottom, there is a "NOTE:-" section with instructions on how to sign the declaration file.

respect to clients from the cited jurisdictions.

List of circulars issued by Exchanges regarding FATF Public Statements which have been complied by you.

FATF calls on its members and other jurisdictions to apply enhanced due diligence measures proportionate to the risk arising from Myanmar.

High-Risk Jurisdiction subject to a call for Action: Other Jurisdictions ☒ Yes ☐ No

Actions taken by you for supervision applicable sanctions based on FATF public Statements issued by FATF and disseminated by the Exchange, along with policy / process adopted for the same.

Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions.

List of circulars issued by Exchanges regarding FATF Public Statements which have been complied by you.

Declaration Document:

Success

The FATF request is saved successfully but yet not submitted. Please upload digitally signed declarations file and submit to Exchange.

OK

To:

Inspection Department,
National Stock Exchange of India Limited (NSEI).

Declaration Note:

- We confirm that we have taken actions required in the above template.
- We have carried out appropriate due-diligence on existing clients.
- We have ensured we have appropriate alert mechanisms put in place to identify the business relationships and transactions with the cited jurisdictions.

Digital Signature Process

NOTE:-

- Please select the process of signing declaration file from Digital Signature on "System Generated File" to download the file, on Sign PDF to sign your digitally generated file and upload the same in Uploaded Digitally Signed File.

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Step. 7. Member is required to download the system generated pdf file and sign the file digitally. Post signature “signed pdf” should be uploaded in the system. At the time of uploading, naming convention of the document should be system generated file name followed by "-signed.pdf"

Note: The system generated pdf should be digitally signed by the Designated directors /Compliance Officer.

In case form is “saved” and pending for submission following message will appear:

SDR Publications	Whether you have Clients belonging to this region *	Action required	Action taken by members/participants *	Upload Client Details
High-Risk Jurisdiction subject to a call for Action: Democratic Peoples Republic of Korea	☐ Yes ☐ No	Actions taken by you for supervision applicable sanctions based on FATF Public Statements issued by FATF and disseminated by the Exchange, along with policy / process adopted for the same. Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions. List of circulars issued by Exchanges regarding FATF Public Statements which have been complied by you.		
High-Risk Jurisdiction subject to a call for Action: Iran	☐ Yes ☐ No	Actions taken by you for supervision applicable sanctions based on FATF Public Statements issued by FATF and disseminated by the Exchange, along with policy / process adopted for the same. Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions. List of circulars issued by Exchanges regarding FATF Public Statements which have been complied by you.		
High-Risk Jurisdiction subject to a call for Action: Myanmar	☐ Yes ☐ No	Actions taken by you for supervision applicable sanctions based on FATF Public Statements issued by FATF and disseminated by the Exchange, along with policy / process adopted for the same. Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions. List of circulars issued by Exchanges regarding FATF Public Statements which have been complied by you.		
High-Risk Jurisdiction subject to a call for Action: Other Jurisdictions	☐ Yes ☐ No	Actions taken by you for supervision applicable sanctions based on FATF Public Statements issued by FATF and disseminated by the Exchange, along with policy / process adopted for the same. Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions. List of circulars issued by Exchanges regarding FATF Public Statements which have been complied by you.		

Once digitally signed form is submitted pop-up message will be shown as “All mandated FATF declarations have been successfully filled for this submission.”

And acknowledgement mail will be sent on the compliance officer's email address.

EDIT FUNCTIONALITY OF THE DECLARATION:

“Edit” Functionality is provided to rectify the incorrect submissions, if any. Members can edit their declaration by clicking “Edit” tab down-right side of the screen.

Members can only edit their declarations until the final submission. If the declaration has been submitted, “Edit” functionality will be disabled and submission cannot be edited.

FATF DECLARATION MIS:

After submitting the declaration, the member can view the submitted declaration by clicking on FATF -> FATF Declaration MIS.

The screenshot displays the NSE FATF Declaration MIS interface. At the top, there's a navigation bar with various tabs like 'Compliance for Assets Auditor', 'Premises', 'Compliance for auditor half yearly', 'Compliance', 'Trade', 'Trade+', 'Membership', 'Member Education', 'Utilities', 'ENT Migration', 'ENT NetGen', 'Others', 'Mock', and 'Accounts'. The 'Compliance' tab is active. Below the navigation bar, there's a sidebar with a list of services, including 'Adverse User Report', 'ATR', 'Member Details', 'Change in Membership Class', 'Trade Through Other Member', 'Authorized Person', 'Quarterly Compliance', 'Branch Office Address', 'Politically Exposed Person', 'UBO Registration', 'Director Details', 'Insurance', 'Key Management Personnel', 'Status Report', 'Half Yearly Networth Submission', 'COTN', 'Surrender', 'ML and AI', 'Highly Trading Approval Withdrawal', 'Client Code Modification', 'New Half Yearly Networth Submission', 'FATF', 'Internal Risk Assessment', 'Sub Broker', 'Annual Return', 'Inventory of Assets', 'Registered Address', 'Change in Name', 'NSEIL CDS Instrument', 'Algorithmic Trading', 'Associate and Subsidiary', 'Additional Segment', 'Compliance with RPOV Act, 2016', 'E-voting facility', 'BO facility details', and 'Membership Certificate'. The 'FATF' option is highlighted. The main area shows a form for 'FATF DECLARATION MIS' with fields for 'Request Reference No.', 'Country', 'Request Status', 'Statement To Date', and 'Request Type'. There are 'Search' and 'Reset' buttons. Below the form, there's a table with columns: 'Sr No.', 'Request Ref.No.', 'Member Name', 'Member Code', 'Status', 'OTD (Yes/No)', 'Applicability', 'CountryName', 'Submitted Date', 'As On Date', 'Download PDF', 'Download Excel', and 'Delete'. The table shows one entry with 'Request Ref.No.' as 'FATF_DECLARATION_JUNE_2026_PLENARY', 'Member Name' as 'FATF_DECLARATION_JUNE_2026_PLENARY', 'Submitted Date' as '21-JUL-2026', and 'As On Date' as '21-JUL-2026'. The 'Status' is 'SUBMIT' and 'Applicability' is 'APPLICABLE'. The 'Download PDF' and 'Download Excel' buttons are visible.

After clicking on FATF Declaration MIS, the following page will appear

The screenshot displays the NSE FATF Declaration MIS interface after clicking on 'FATF Declaration MIS'. The interface shows a form for 'FATF DECLARATION MIS' with fields for 'Member Name', 'Member Code', 'Request Status', 'Request Reference No.', 'Statement From Date', 'Statement To Date', 'Country', 'Applicability', and 'Request Type'. There are 'Search' and 'Reset' buttons. Below the form, there's a table with columns: 'Sr No.', 'Request Ref.No.', 'Member Name', 'Member Code', 'Status', 'OTD (Yes/No)', 'Applicability', 'CountryName', 'Submitted Date', 'As On Date', 'Download PDF', 'Download Excel', and 'Delete'. The table shows one entry with 'Request Ref.No.' as 'FATF_DECLARATION_JUNE_2026_PLENARY', 'Member Name' as 'FATF_DECLARATION_JUNE_2026_PLENARY', 'Submitted Date' as '21-JUL-2026', and 'As On Date' as '21-JUL-2026'. The 'Status' is 'SUBMIT' and 'Applicability' is 'APPLICABLE'. The 'Download PDF' and 'Download Excel' buttons are visible.

Members can click on the Search Button of the MIS page and view all the requests submitted by the member or the member can also search for specific data by entering data in the filter fields provided. Members can download the Excel files and PDF Files from the MIS by clicking on the download button. Members can also see the summary data submitted by clicking on the reference number.

DELETION OF INCORRECT DECLARATION:

Members can also delete their saved or submitted declaration for the ongoing submission, in case incorrect details are submitted. Member can select the incorrect declaration from the FATF Declaration MIS and can click on the “Delete” option at the right-hand side of the screen. Members can follow the steps below for deletion of incorrect declaration.

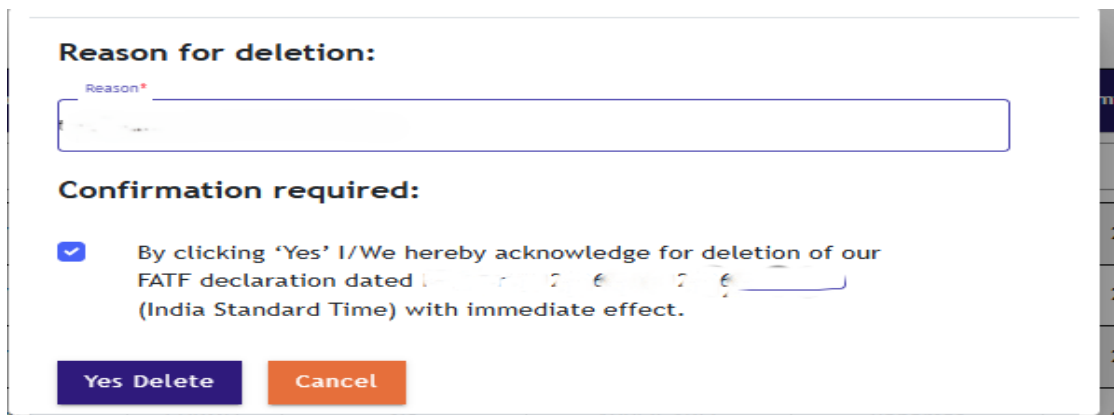
Members may delete their declarations up to the due date of the ongoing submission. Post due date, “Delete” functionality will be disabled and submissions cannot be deleted.

Step 1. For deletion of incorrect declaration, member can select on “FATF Declaration MIS” Tab and then below screen will be appear:

The screenshot displays the FATF Declaration MIS interface. At the top, there are search filters: 'Request Reference No', 'Statement From Date', and 'Statement To Date'. Below these are dropdown menus for 'Country', 'Applicability', and 'Request Type'. A 'Search' button and a 'Reset' button are located to the right of the dropdowns. Below the filters, there is an 'Export' button and a 'Items per page' dropdown set to '10'. The main table has 13 columns: 'Sr No.', 'Request Ref.No', 'Member Name', 'Member Code', 'Status', 'OTD (Yes/No)', 'Applicability', 'CountryName', 'Submitted Date', 'As On Date', 'Download PDF', 'Download Excel', and 'Delete'. The first row of data shows a declaration with 'Request Ref.No' FATF_3_Iran_2026_26886, 'Member Name' S S A, 'Member Code' 3, 'Status' SUBMIT, 'OTD (Yes/No)' No, 'Applicability' APPLICABLE, 'CountryName' Iran, 'Submitted Date' R 1, 'As On Date' R, and 'Download PDF' and 'Download Excel' buttons. A 'Delete' button is also present in the last column.

Sr No.	Request Ref.No	Member Name	Member Code	Status	OTD (Yes/No)	Applicability	CountryName	Submitted Date	As On Date	Download PDF	Download Excel	Delete
1	FATF_3_Iran_2026_26886	S S A	3	SUBMIT	No	APPLICABLE	Iran	R 1	R	Download	Download	Delete

Step 2. Provide the reason for the deletion and confirmation:



The screenshot shows a web form for deleting a declaration. It has two main sections: 'Reason for deletion:' with a text input field labeled 'Reason *', and 'Confirmation required:' with a checked checkbox and a text box for a date. At the bottom are 'Yes Delete' and 'Cancel' buttons.

Reason for deletion:

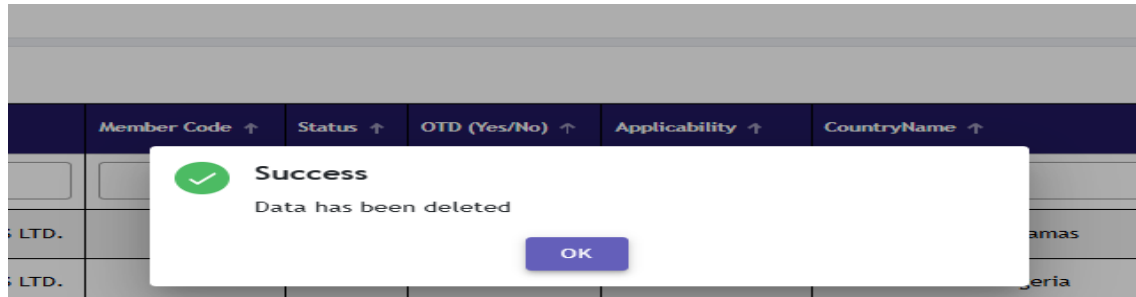
Reason *

Confirmation required:

☒ By clicking 'Yes' I/We hereby acknowledge for deletion of our FATF declaration dated 12/07/2020 (India Standard Time) with immediate effect.

Yes Delete **Cancel**

Step 3. Once member clicks on the “Yes Delete”, message will pop-up for successfully deletion:



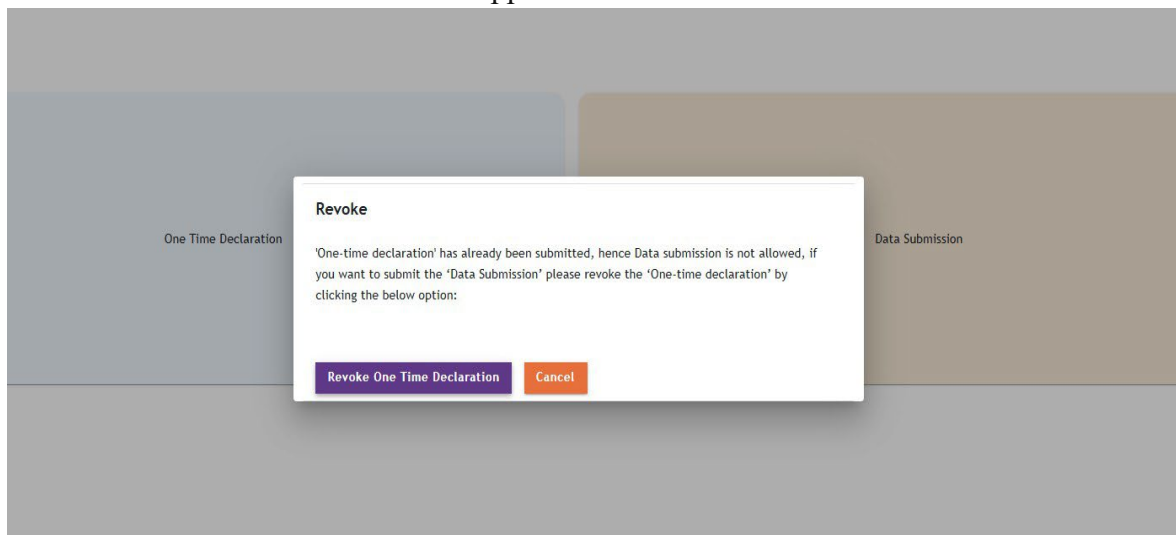
The screenshot shows a table with columns: Member Code, Status, OTD (Yes/No), Applicability, and CountryName. A success message pop-up is centered over the table, indicating that the data has been deleted successfully.

Success
Data has been deleted
OK

REVOCATION OF ONE-TIME DECLARATION:

Members may also revoke their One-time declaration and may change their status for submission of country-wise declaration as per the applicability.

Step 1. For revocation of one-time declaration, member can select on “Data Submission” Tab and then below screen will be appear:



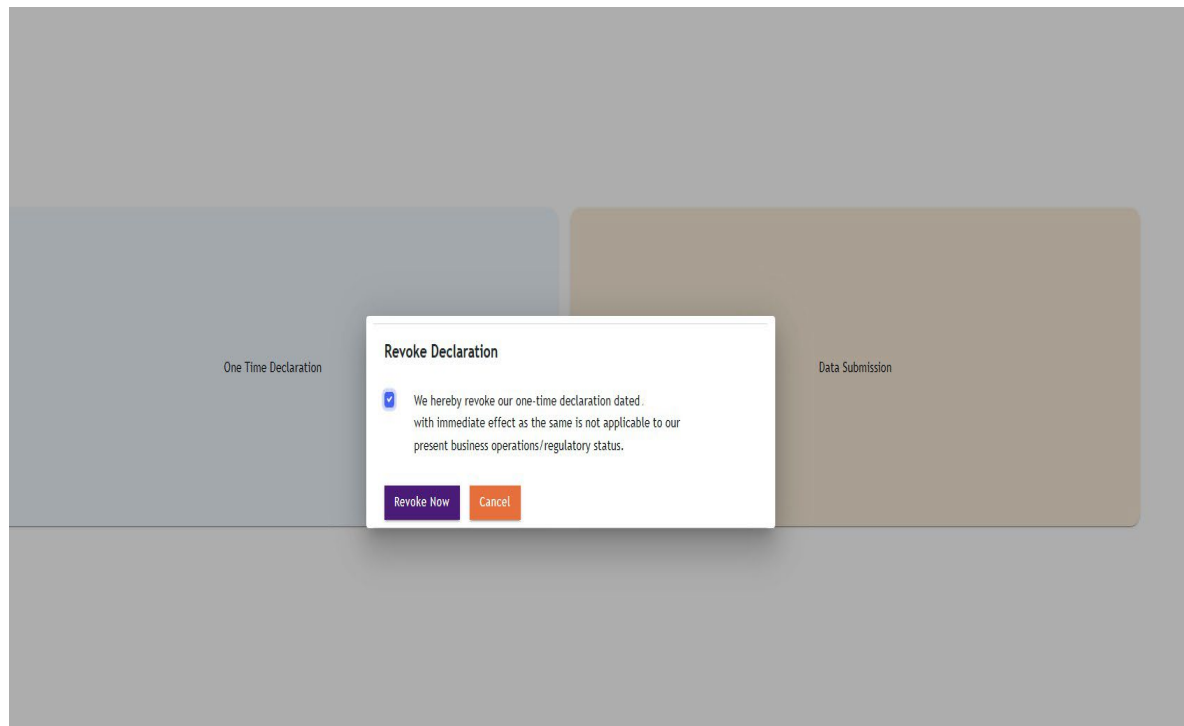
The screenshot shows a web interface with two tabs: 'One Time Declaration' and 'Data Submission'. A dialog box titled 'Revoke' is open, explaining that data submission is not allowed while a one-time declaration is active and providing a 'Revoke One Time Declaration' button.

Revoke

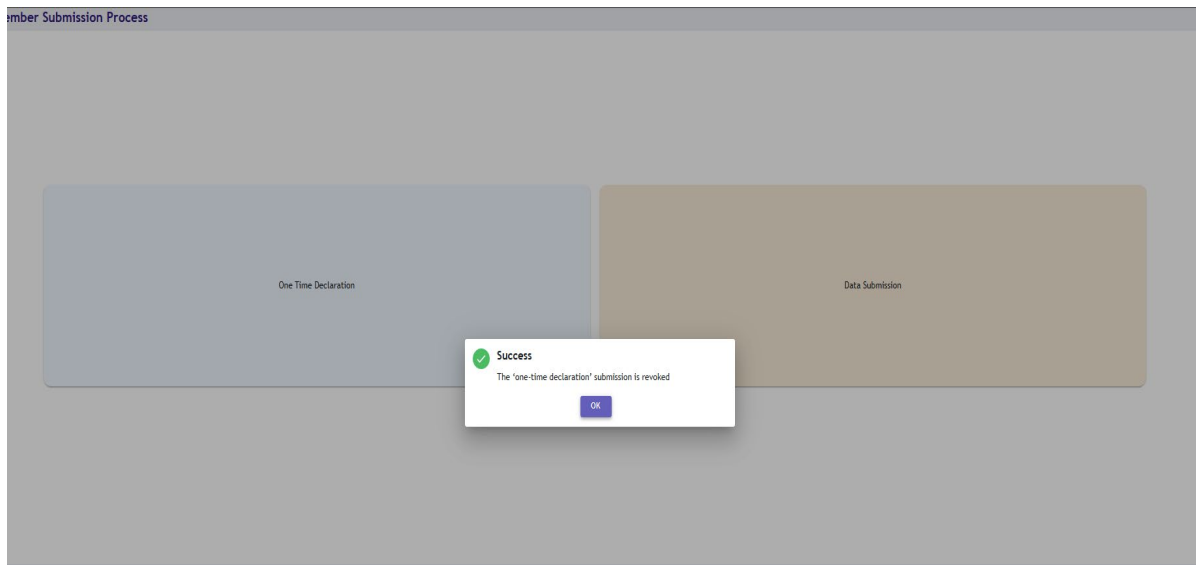
'One-time declaration' has already been submitted, hence Data submission is not allowed, if you want to submit the 'Data Submission' please revoke the 'One-time declaration' by clicking the below option:

Revoke One Time Declaration **Cancel**

Step 2. Member can click on the checkbox to confirm the revocation and the tab “Revoke Now”



Step 3. When member clicks on the above checkbox and the option “Revoke Now”, existing one-time declaration will be revoked on immediate basis and below pop-up will be visible to the Member.



ONSE

Member Code : Hide : Member Name : , Test Digital Signature

Compliance for Assets Auditor • Premiums • Compliance for auditor half yearly • Compliance • Trade • Trade • Membership • Member Education • Utilities • ENT Migration • ENT NotGen • Others • Mock • Accounts

Welcome

FATF DECLARATION MIS

Member name

Member Code

Request Status

Request Reference No

Statement From Date

Statement To Date

Country

X

Applicability

X

Request type

OTD

X

Search

Reset

Export

Items per page: 10

1 - 10 of 29

Sl No. ↑	Member Code ↑	Member Name ↑	Date of Latest OTD Submission ↑	Date of Revocation ↑	Membership Status ↑	OTD Status ↑	OTD Applicable ↑
1			21-JUL-2026			SUBMIT	Yes
2			17-JUL-2026	17-JUL-2026		SUBMIT	No
3			15-JUL-2026	15-JUL-2026		SUBMIT	No

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