

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION

Download Ref No: NSE/INSP/73560

Date: April 1, 2026

Circular Ref. No: 12/2026

To All Trading Members,

Sub: FATF Public statement on jurisdictions on call for action and increased monitoring – February 2026 Plenary

This is with reference to Exchange Circular Ref. No. NSE/ISC/73327 dated March 17, 2026, on “FATF Public statement on jurisdictions on call for action and increased monitoring - February 2026 Plenary”.

In furtherance to the aforesaid Circular, all Members are required to update the actions taken by them in the prescribed format in FATF module in “ENIT New Compliance”. If the same is not applicable, Members may select ‘not applicable’ and submit the declaration. Detailed procedure has been explained in the user manual attached as **Annexure A**.

The due date for submission shall be **Thursday, April 23, 2026**. All Members are advised to comply with the aforesaid requirements within the prescribed timelines to avoid any penal charges/disciplinary actions for the non/late submission of information towards FATF public statement as prescribed vide Exchange Circular Ref. No. NSE/INSP/70746 dated October 10, 2025.

Members are advised to take note of the contents of the Circular and ensure compliance.

**For and on behalf of
National Stock Exchange of India Limited**

**Kapil Marwah
Associate Vice President**

National Stock Exchange of India Limited

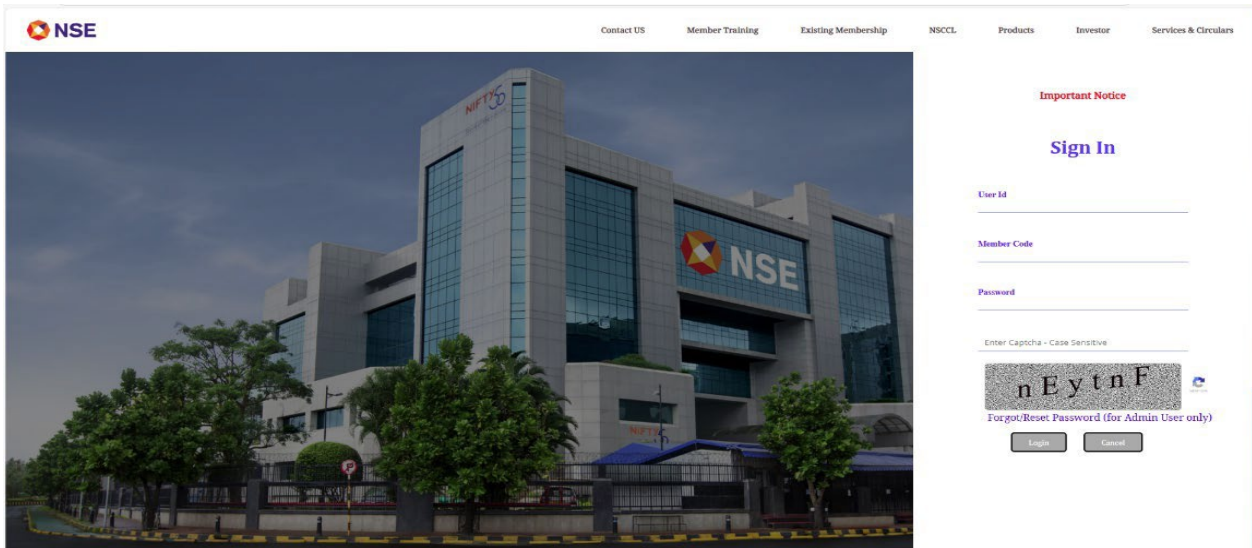
In case of any clarifications, Members may contact our below offices:

Regional Office	CONTACT NO.	E MAIL ID
Ahmedabad (ARO)	079-65278024/55	inspectionahm@nse.co.in
Chennai (CRO)	044- 66309915/17	inspection_cro@nse.co.in
Delhi (DRO)	011-23459146/127/144/147	delhi_inspection@nse.co.in
Kolkata (KRO)	033-40400412 / 59	inspection_kolkata@nse.co.in
Mumbai (WRO)	022-61928200	compliance_wro@nse.co.in
Central Help Desk	compliance_assistance@nse.co.in	

MANUAL FOR FATF SUBMISSION

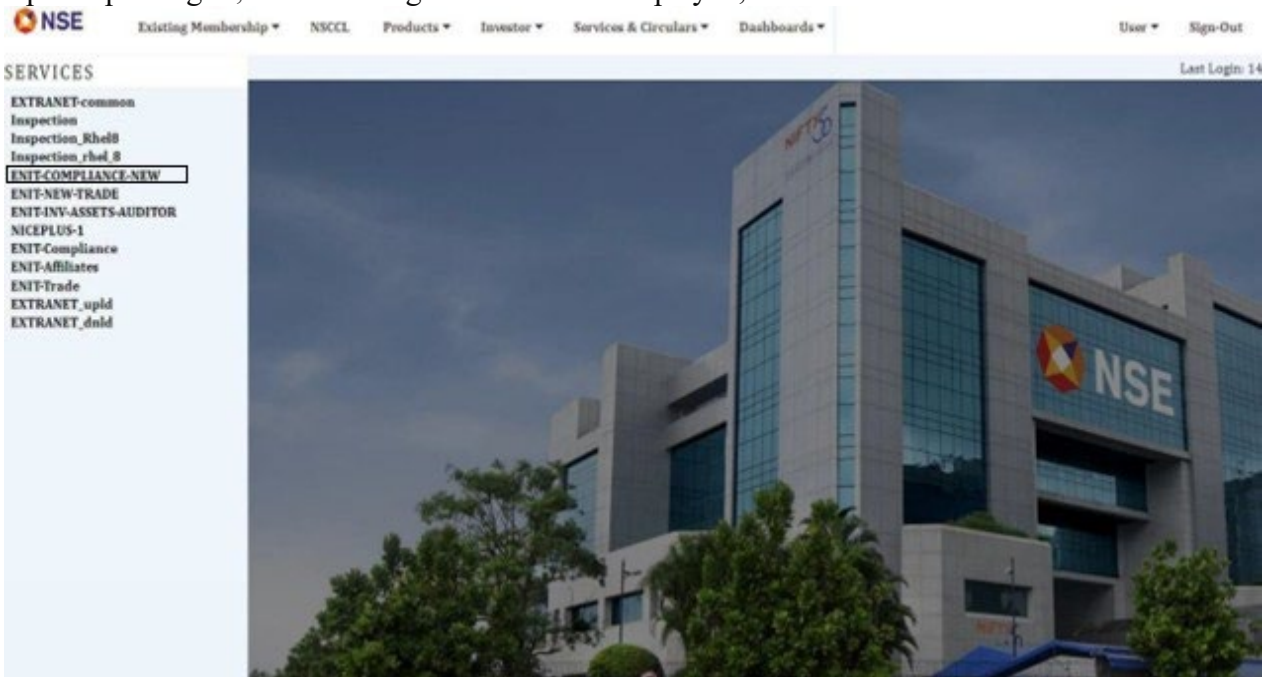
Step 1. Open Internet Explorer browser from the desktop using the URL - <https://www.connect2nse.com/MemberPortal/home.jsp> or <https://enit.nseindia.com/MemberPortal/>

NSE Member Portal **Login** screen will open as below:



Step 2. The Member shall login to the Member Portal by using the login credentials.

Step 3. Upon log in, the following screen will be displayed, click on 'ENIT NEW COMPLIANCE'



Step 4. Upon clicking ENIT NEW COMPLAINEE, the Member can follow the path for FATF as – Compliance >> FATF >> Member Submission.

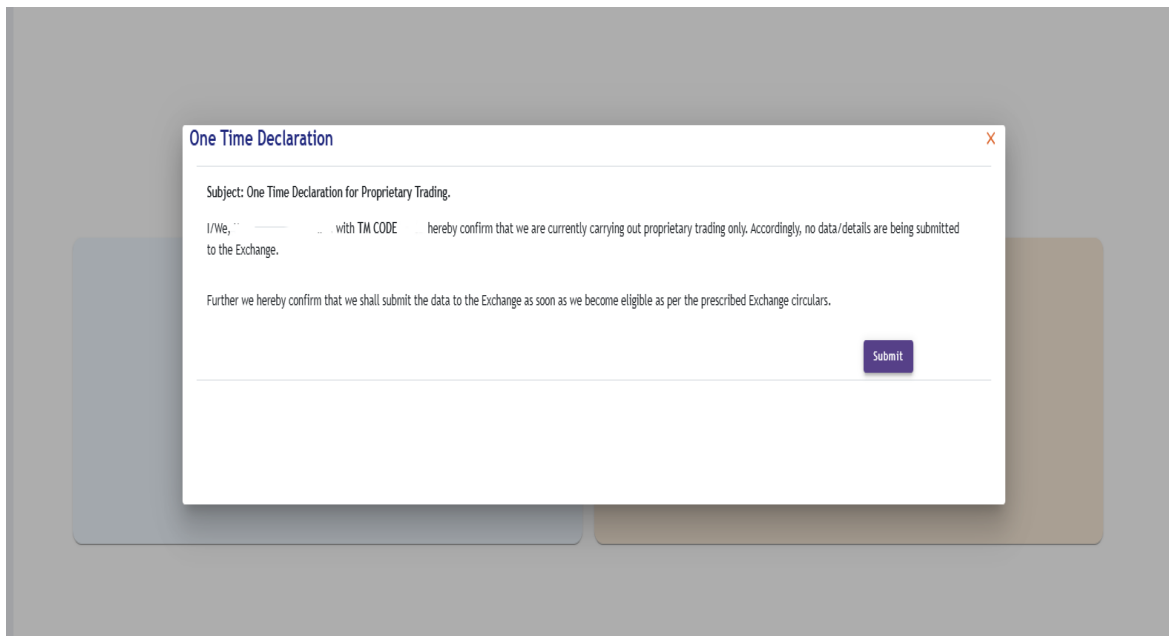
The screenshot shows the NSE ENIT portal interface. At the top, there is a navigation bar with the NSE logo and user information (Member Code, Member Name, Test Digital Signature). Below the navigation bar, there is a menu with various categories like Compliance, Trade, Membership, etc. The main content area is titled 'FATF DECLARATION MIS'. It features a search bar with 'Search' and 'Reset' buttons. Below the search bar, there is a table with the following columns: Sr.No., Request Ref.No., Member Name, Member Code, Applicability, CountryName, Submitted Date, As On Date, Download PDF, Download Excel, and Delete. A dropdown menu is open over the 'FATF' category, showing 'Member Submission' and 'FATF Declaration MIS' options.

Step 5. Upon clicking Member Submission, below page will appear, and Member can see two options “One time declaration” and “Data Submission.”

The screenshot shows the 'Member Submission Process' page. The page has a header with the NSE logo and user information. Below the header, there is a main content area with two large buttons: 'One Time Declaration' (light blue) and 'Data Submission' (light orange).

A. ONE-TIME DECLARATION FOR PROPRIETARY TRADING MEMBERS

Members who are carrying out proprietary trading only and have no data to be submitted to the Exchange, may submit “One time declaration” as below:



The screenshot shows a web form titled "One Time Declaration" with a close button (X) in the top right corner. The form contains the following text:

Subject: One Time Declaration for Proprietary Trading.

I/We, _____ with TM CODE _____ hereby confirm that we are currently carrying out proprietary trading only. Accordingly, no data/details are being submitted to the Exchange.

Further we hereby confirm that we shall submit the data to the Exchange as soon as we become eligible as per the prescribed Exchange circulars.

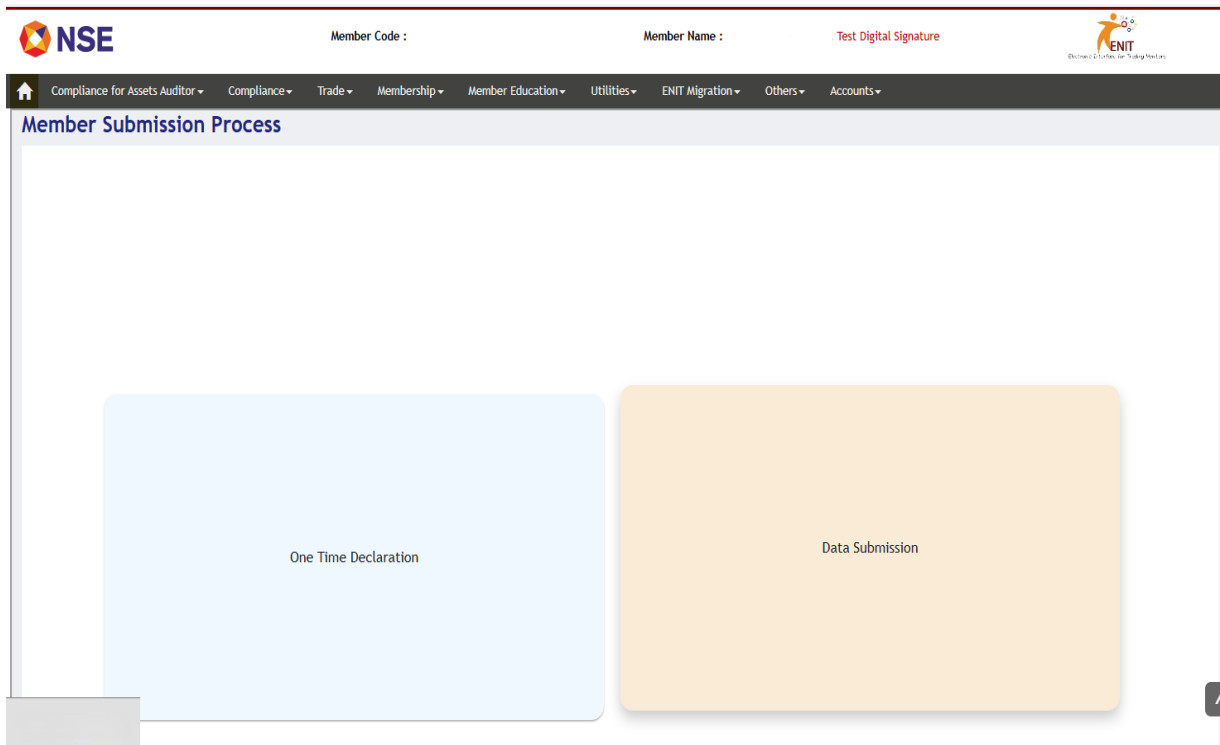
A "Submit" button is located at the bottom right of the form.

On the submission of “One time declaration”, the following pop-up will appear:



B. MEMBER OTHER THAN PROPRIETARY TRADING MEMBERS:

Members other than proprietary trading members shall submit their declaration in “Data Submission” Tab.



Step 1. Select the countries from the dropdown and its applicability. If the “applicable” has been chosen, then the below page will appear-

The screenshot shows the FATF Declaration by Member page. The page title is "FATF Declaration by Member". Below the title, there is a form with the following fields:

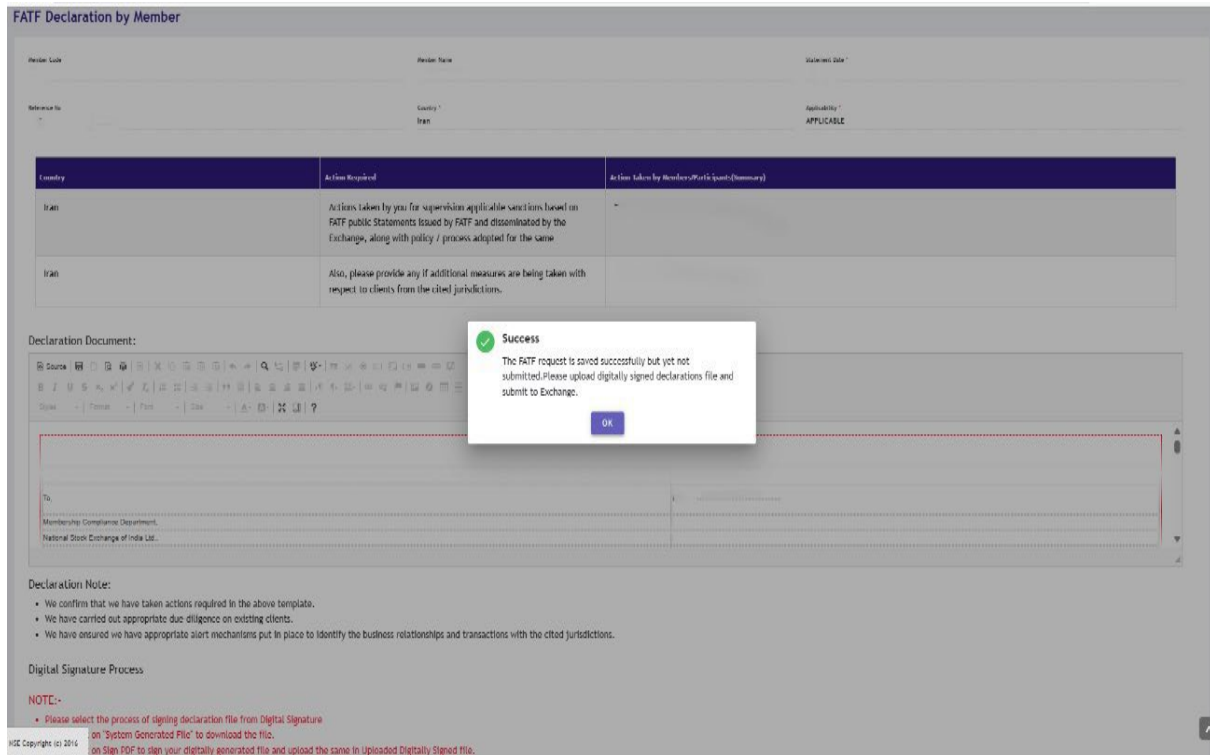
Member Code	Member Name	Statement Date *	
<input type="text"/>	<input type="text"/>	<input type="text"/>	X v
Country *	Applicability *		
<input type="text"/>	<input type="text" value="APPLICABLE"/>	<input type="text"/>	X v

Country cannot be blank

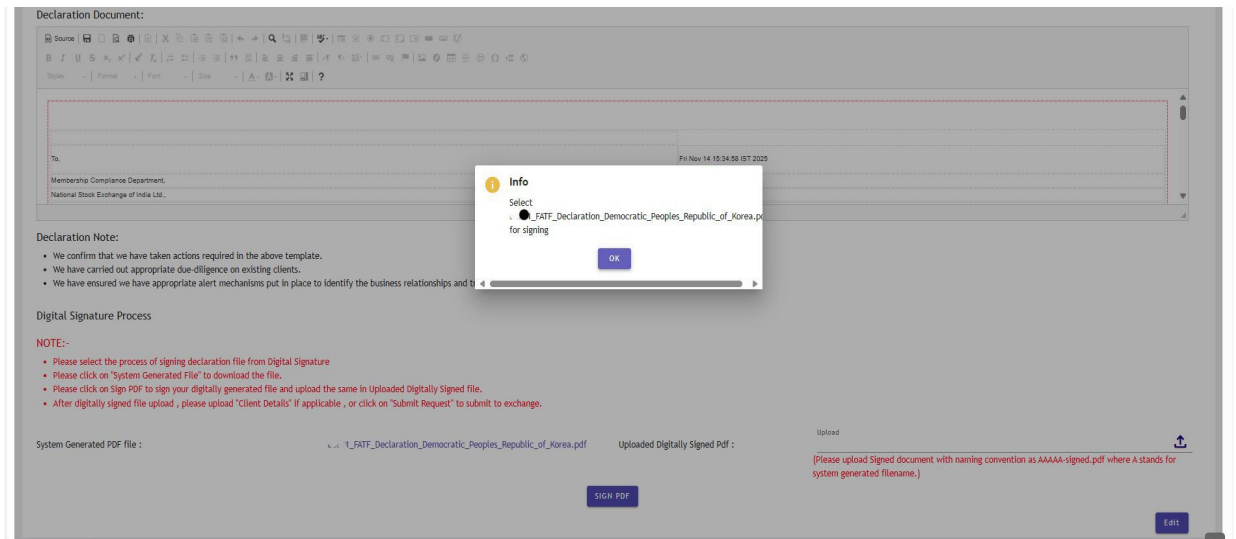
Save and View Declaration

Note: Content of Action required may vary from country to country. The above screen is only for reference.

Step 2. After filling in all the details in the text area, click on the “Save and View Declaration” . On clicking, the following pop-up will appear-



Step. 3. Member is required to download the system generated pdf file and click on Sign pdf. Select system generated pdf file from the Download location and digitally sign the pdf. Click on upload digitally signed pdf and select the signed pdf file from the folder “Signed file”.



At the time of upload, naming convention of the document should be system generated file name followed by "-signed.pdf".

Step 4. Post pdf file upload, an excel file is to be uploaded, as per the template provided. Members are required to download template excel, feed the data in the excel and upload the same excel file. After excel is attached, click on Submit request. Naming convention of the excel file should be **TMCode_FATF_ClientDetails_CountryName**, for example *XXXXX_FATF_ClientDetails_Iran.xlsx*

Iran

Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions.

Declaration Document:

Declaration Note:

- We confirm that we have taken actions required in the above template.
- We have carried out appropriate due-diligence on existing clients.
- We have ensured we have appropriate alert mechanisms put in place to identify the business relationships and transactions with the cited jurisdictions.

Digital Signature Process

NOTE:-

- Please select the process of signing declaration file from Digital Signature
- Please click on "System Generated File" to download the file.
- Please click on Sign PDF to sign your digitally generated file and upload the same in Uploaded Digitally Signed File.
- After digitally signed file upload , please upload "Client Details" if applicable , or click on "Submit Request" to submit to exchange.

System Generated PDF File :

Uploaded Digitally Signed Pdf :

Upload Client Details Excel File:

SIGN PDF

Submit Request

When the request is submitted, a pop-up will be shown stating the request has been submitted successfully.

Iran

Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions.

Declaration Document:

Declaration Note:

- We confirm that we have taken actions required in the above template.
- We have carried out appropriate due-diligence on existing clients.
- We have ensured we have appropriate alert mechanisms put in place to identify the business relationships and b

Digital Signature Process

NOTE:-

- Please select the process of signing declaration file from Digital Signature
- Please click on "System Generated File" to download the file.
- Please click on Sign PDF to sign your digitally generated file and upload the same in Uploaded Digitally Signed file.
- After digitally signed file upload , please upload "Client Details" if applicable , or click on "Submit Request" to submit to exchange.

System Generated PDF File :

Uploaded Digitally Signed Pdf :

Upload Client Details Excel File:

SIGN PDF

Submit Request

Success

The FATF request is submitted successfully with request reference No: FATF_Iran_2025_ on 14-Nov-2025. Please go to Compliance -> FATF -> FATF Declaration MIS for viewing the request. Also please submit the FATF for all Countries.

OK

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Edit

Step 5. In case the FATF declaration is not applicable to the Member, member needs to select 'Not applicable' as the applicability and click on “Save and View Declaration”

Member Code	Member Name	Statement Date*
		13-Nov-2025
Reference No	Country*	Applicability*
FATF, Democratic Peoples Republic of Korea, 12, 1	Democratic Peoples Republic of Korea	NOT APPLICABLE

Save and View Declaration

Step 6. On clicking “Save and View Declaration” on the pop up, the below page will appear, wherein the Member has to select system generated pdf file from the download location and digitally sign the pdf. Post that, member has to click on upload digitally signed pdf and select the signed pdf file from the folder “Signed file”.

In case of Not-applicable, no excel file is required to be uploaded.

Declaration Document:

To: Membership Compliance Department, National Stock Exchange of India Ltd.

Declaration Note:

- We confirm that we have taken actions required in the above template.
- We have carried out appropriate due-diligence on existing clients.
- We have ensured we have appropriate alert mechanisms put in place to identify the business relationships and t

Digital Signature Process

NOTE:-

- Please select the process of signing declaration file from Digital Signature
- Please click on "System Generated File" to download the file.
- Please click on Sign PDF to sign your digitally generated file and upload the same in Uploaded Digitally Signed file.
- After digitally signed file upload, please upload "Client Details" if applicable, or click on "Submit Request" to submit to exchange.

System Generated PDF file: 1_FATF_Declaration_Democratic_Peoples_Republic_of_Korea.pdf

Uploaded Digitally Signed Pdf:

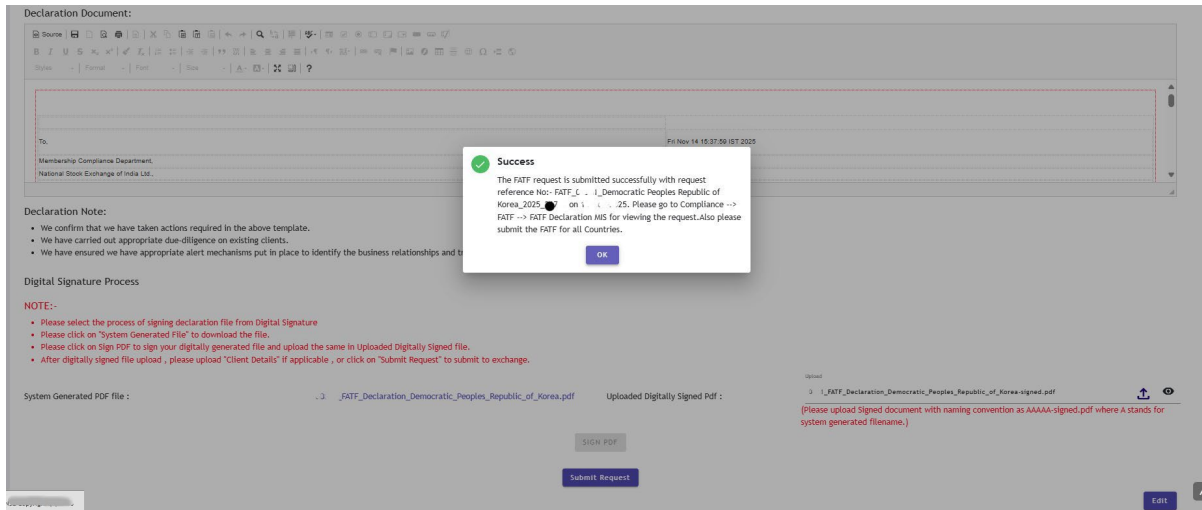
Upload

(Please upload signed document with naming convention as AAAAA-signed.pdf where A stands for system generated filename.)

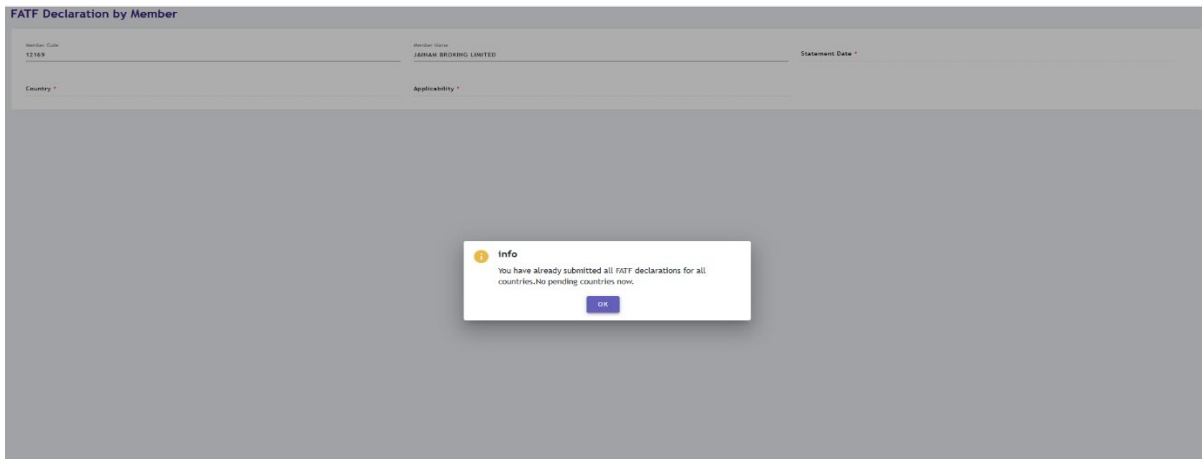
SIGN PDF

Upload

After submitting the request, the following pop-up will appear:



Above pop-up screen will appear, “Also please submit the FATF for all Countries” if there is any declaration yet to be submitted. Once all declarations are submitted pop-up message will be shown as “You have already submitted all FATF declarations for all countries. No pending countries now.”

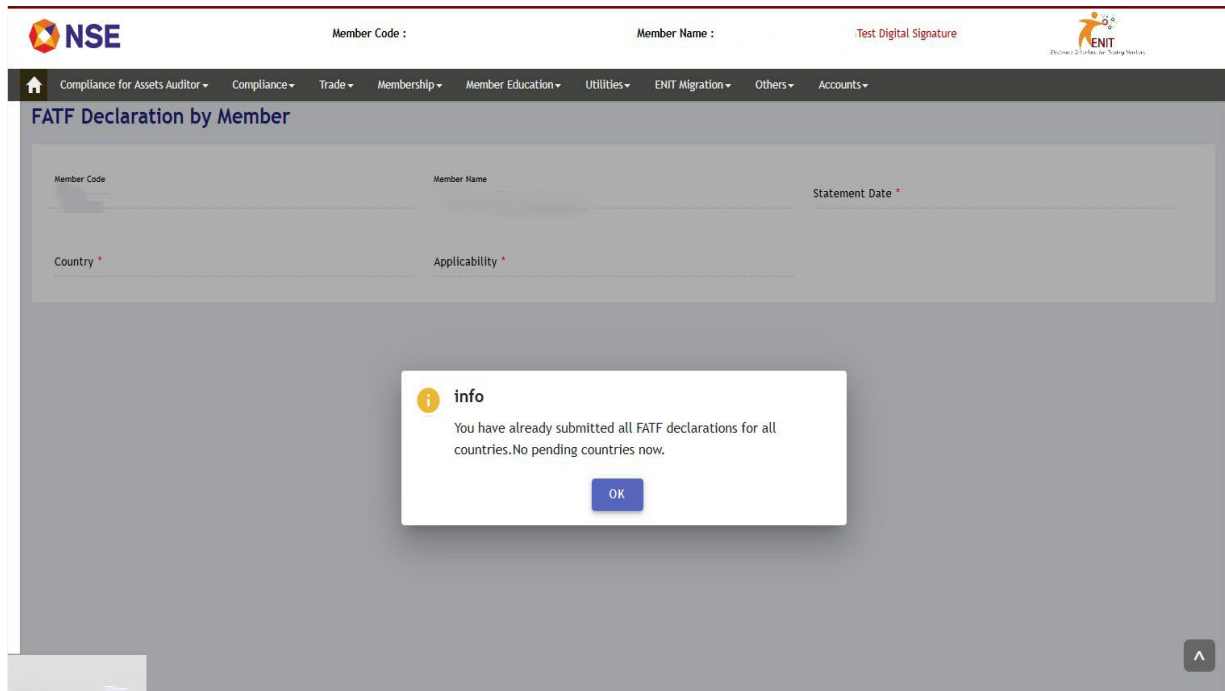


EDIT FUNCTIONALITY OF THE DECLARATION:

“Edit” Functionality is provided to rectify the incorrect submissions, if any. Members can edit their declaration by clicking “Edit” tab down-right side of the screen.

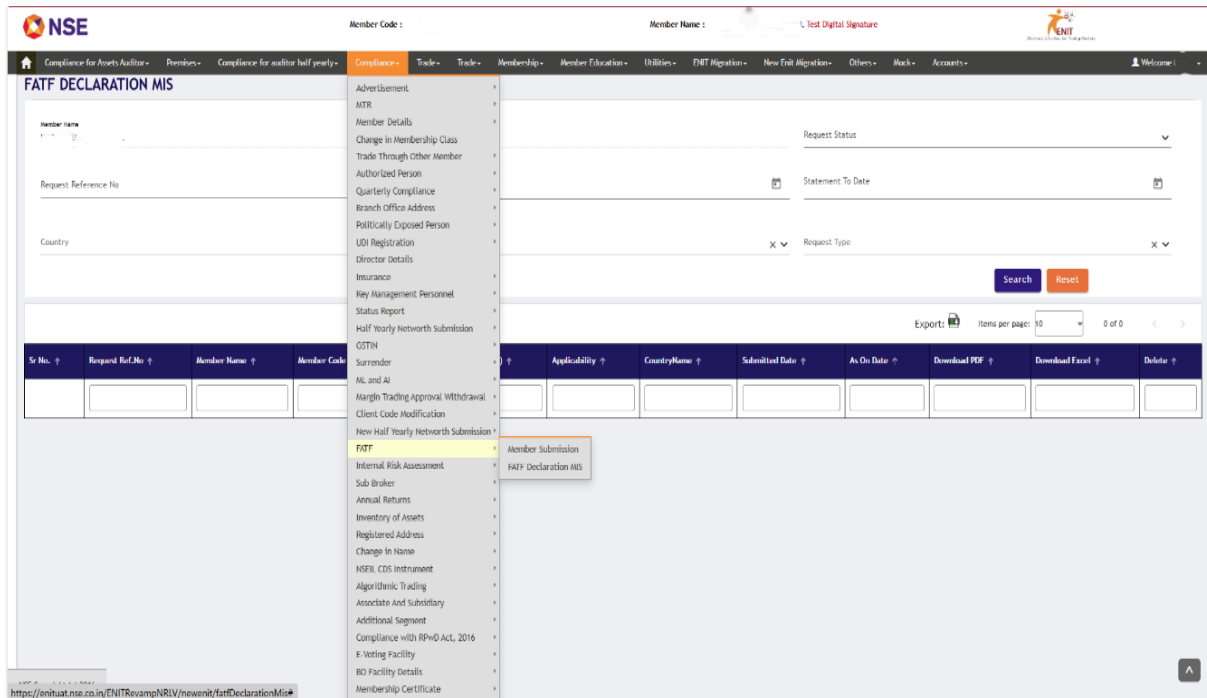
Members can only edit their declarations until the final submissions. If all declarations have been submitted, “Edit” functionality will be disabled and submissions cannot be edited.

Below screen will be visible after submission of all declarations:

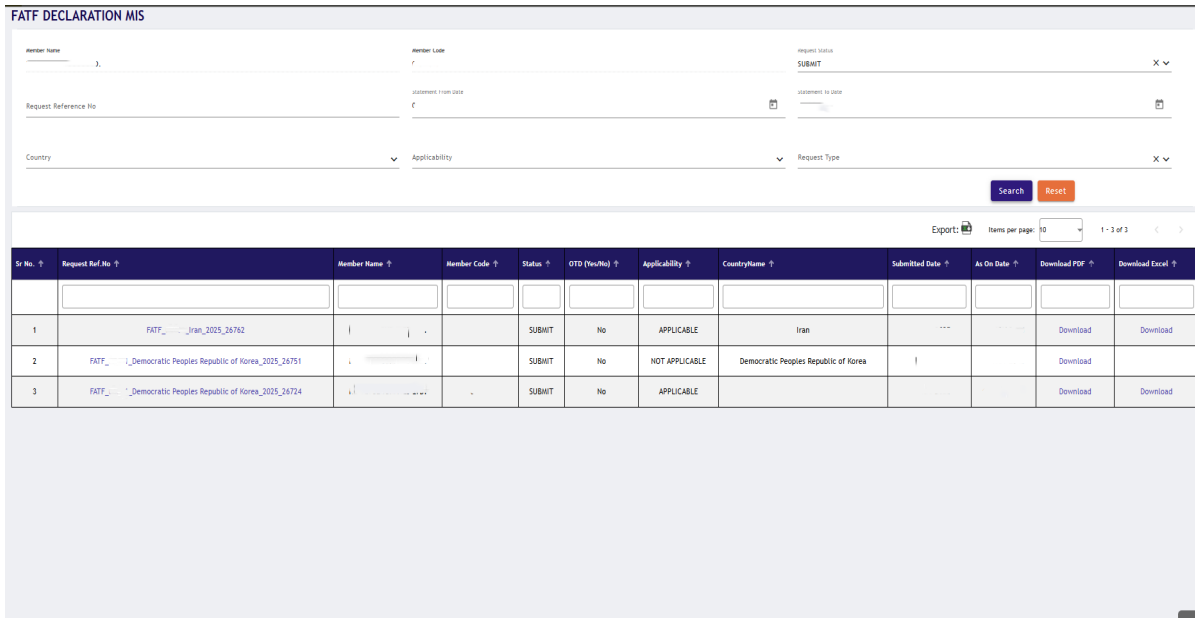


FATF DECLARATION MIS:

After submitting the request, the member can view the submitted request by clicking on FATF->FATF Declaration MIS.



After clicking on FATF Declaration MIS, the following page will appear.



Members can click on the Search Button of the MIS page and view all the requests submitted by the member or the member can also search for specific data by entering data in the filter fields provided. Members can download the Excel files and PDF Files from the MIS by clicking on the download button. Members can also see the summary data submitted by clicking on the reference number.

DELETION OF INCORRECT DECLARATION:

Member can also delete their saved or submit country-wise declaration for the ongoing submission, in case incorrect details are submitted. Member can select the incorrect declaration from the FATF Declaration MIS and can click on the “Delete” option at the right-hand side of the screen. Members can follow the steps below for deletion of incorrect declaration.

Members may delete their declarations up to the due date of the ongoing submission. Post due date, “Delete” functionality will be disabled and submissions cannot be deleted.

Step 1. For deletion of incorrect declaration, member can select on “FATF Declaration MIS” Tab and then below screen will be appear:

Request Reference No _____ Statement From Date _____ Statement To Date _____

Country x v Applicability x v Request Type x v

Search Reset

Export: Items per page: 10 1 - 10 of 82

Sr No. ↑	Request Ref.No ↑	Member Name ↑	Member Code ↑	Status ↑	OTD (Yes/No) ↑	Applicability ↑	CountryName ↑	Submitted Date ↑	As On Date ↑	Download PDF ↑	Download Excel ↑	Delete ↑
1	FATF_ 1_Iran_2026_26886		3	SUBMIT	No	APPLICABLE	Iran			Download	Download	Delete

Step 2. Provide the reason for the deletion and confirmation:

Reason for deletion:

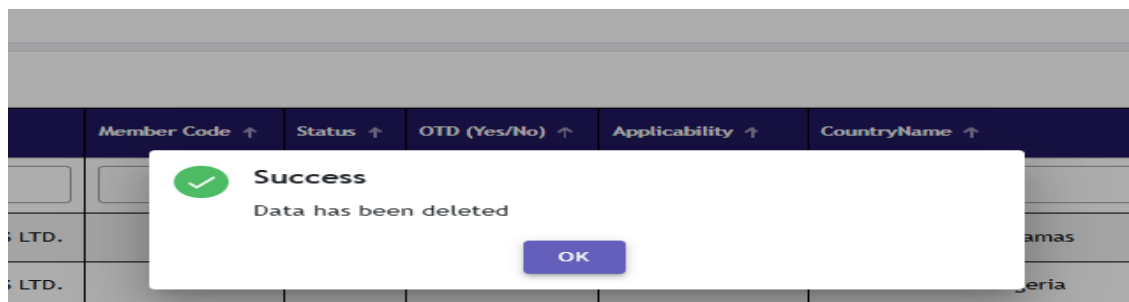
Reason*

Confirmation required:

By clicking "Yes" I/We hereby acknowledge for deletion of our FATF declaration dated _____ (India Standard Time) with immediate effect.

Yes Delete Cancel

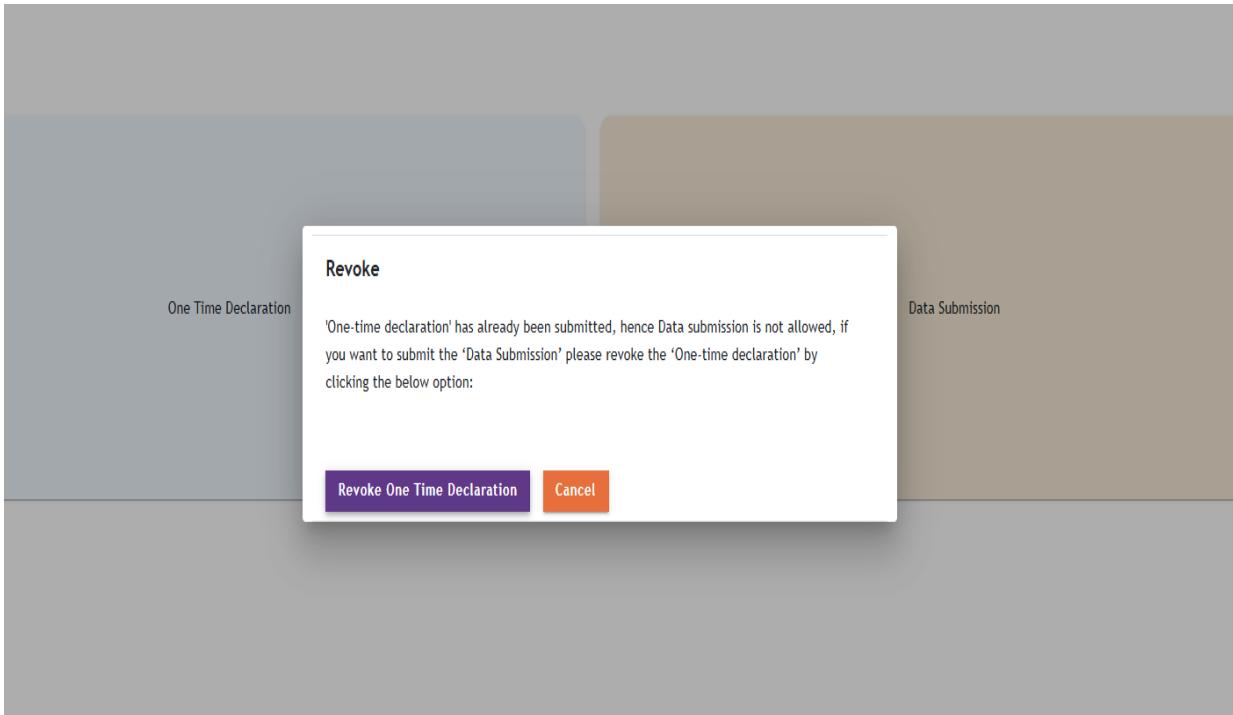
Step 3. Once member clicks on the "Yes Delete", message will pop-up for successfully deletion:



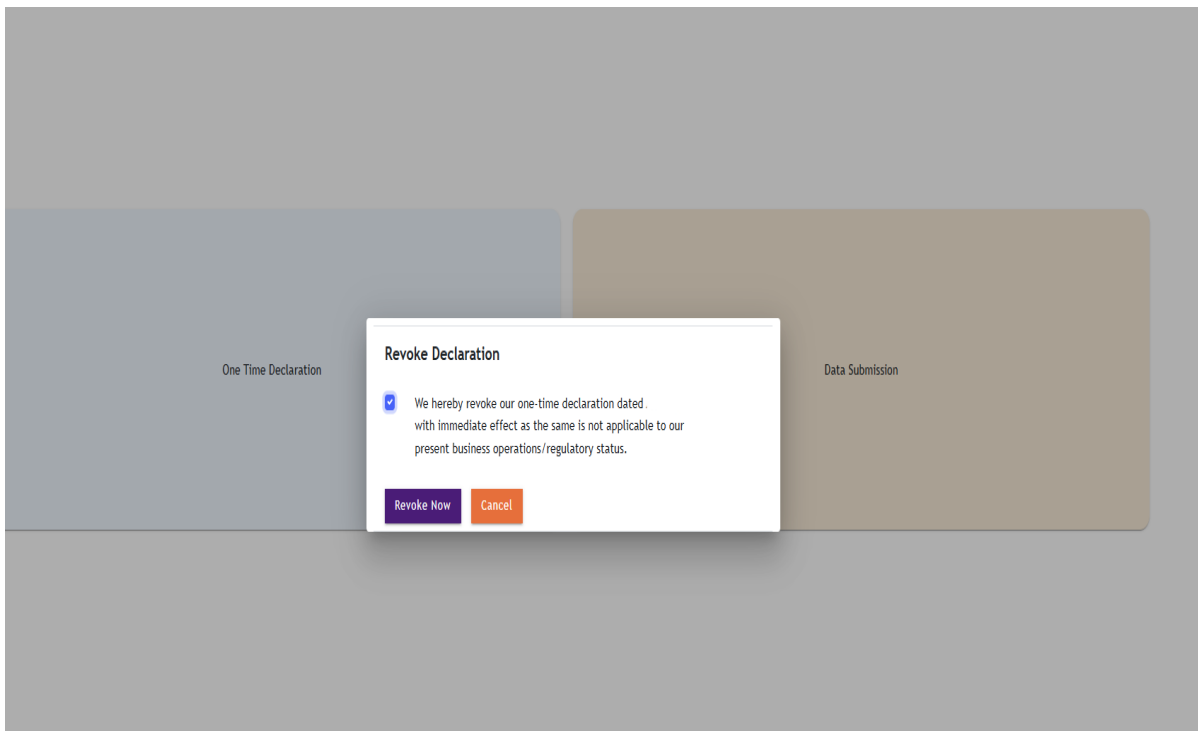
REVOCAION OF ONE-TIME DECLARATION:

Member may also revoke their One-time declaration and may change their declaration status for submission of country-wise declaration as per the applicability.

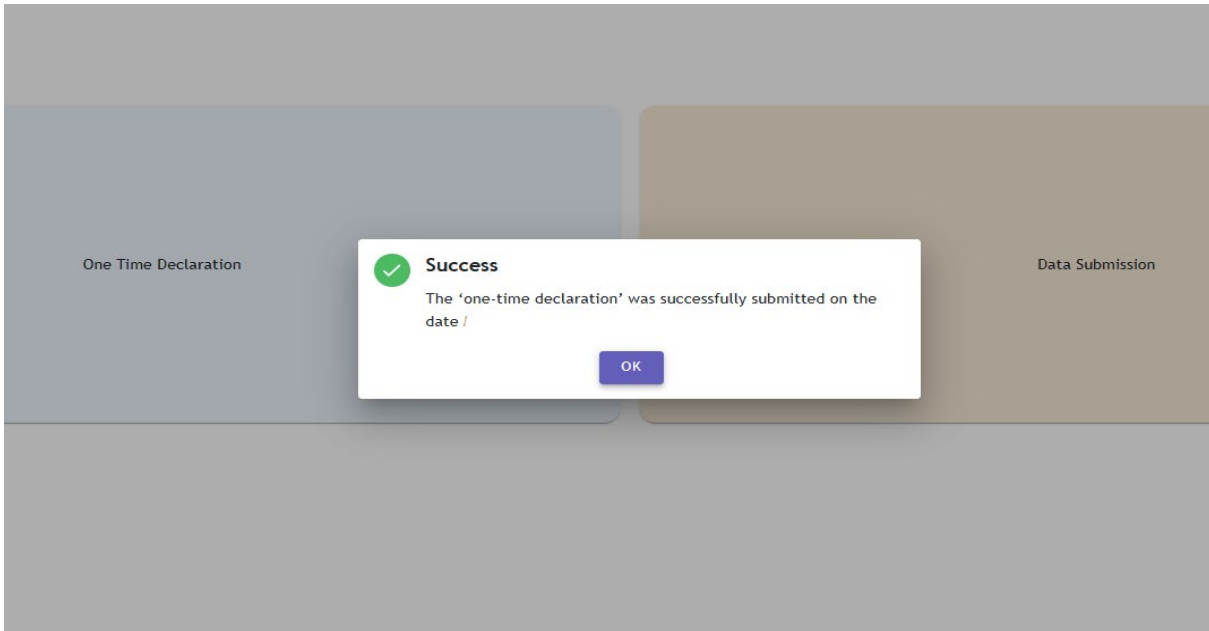
Step 1. For revocation of one-time declaration, member can select on "Data Submission" Tab and then below screen will be appear:



Step 2. Member can click on the checkbox to confirm the revocation and the tab “Revoke Now”



Step 3. When member clicks on the above checkbox and the option “Revoke Now”, existing one-time declaration will be revoked on immediate basis and below pop-up will be visible to the Member.



Members can also check the declaration status on FATF Declaration MIS >> Request Type >> OTD.

- END OF THE DOCUMENT -