

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No.: NSE/INSP/73289	Date: March 13, 2026
Circular Ref No.: 07/2026	

To All Trading Members,

Sub: Reminder for submission of Action Taken Report pertaining to System Audit (Type – III) of Trading Members

This is with reference to Exchange circular no. NSE/INSP/70900 dated October 17, 2025, regarding submission of System Audit Report (Type – III) of Trading Members.

Trading Members are required to take corrective action for each non-compliance reported by auditors in System Audit Report and submit Action Taken Report (ATR) for non-compliances reported in the audit period ending September 30, 2025. On review of details of corrective action taken/submitted by the trading member, the auditor shall submit the status of compliance as Compliant or Non-Compliant on ENIT through ATR, as per below mentioned timelines.

Audit Period	Due date for Submission
Half Yearly (April 2025 – September 2025)	Action Taken Report (ATR) (If Applicable)
	March 31, 2026

The link for submission of Action Taken Report is available on ENIT.

Trading members are requested to take note of Exchange circular NSE/INSP/70746 dated October 10, 2025, regarding “Ease of Doing Business - Rationalization and Standardization of penalties levied on Trading Members”.

All Trading Members are advised to take note of the above and comply.

**For and on behalf of
National Stock Exchange of India Limited**

**Prashant Aier
Chief Manager – Inspection**

The user manual for System Audit ATR submission on ENIT is as follows:**For Trading Member:**ENIT → NEW-TRADE → Trade → System Audit → System Audit Help File → **ATR****For Auditor:**ENIT → NEW-TRADE → Trade → System Audit → System Audit Help File → **ATR****In case of any clarifications, Trading Members may contact our offices below:**

Regional Office	Email Address	Contact No.
Ahmedabad (ARO)	inspectionahm@nse.co.in	079- 49008632
Chennai (CRO)	inspection_cro@nse.co.in	044- 66309915 / 17
Delhi (DRO)	delhi_inspection@nse.co.in	011- 23459127 / 38 / 46
Kolkata (KRO)	inspection_kolkata@nse.co.in	033- 40400412 / 459
Mumbai (WRO)	compliance_wro@nse.co.in	022-26598200 / 022-61928200
Central Help Desk	compliance_assistance@nse.co.in	