

National Stock Exchange of India Limited

Circular

| DEPARTMENT: INSPECTION | |
|---------------------------------|------------------------|
| Download Ref No: NSE/INSP/72364 | Date: January 16, 2026 |
| Circular Ref. No: 3/2026 | |

To All Trading Members,

Sub: Reminder for submission of Action Taken Report for non-compliance(s) reported in the Internal Audit Report for the half year ended September 30, 2025

This is with reference to Exchange Circular No. NSE/INSP/71579 dated December 1, 2025 and NSE/INSP/71800 dated December 12, 2025 requiring trading members to close the non-compliance(s) reported in the internal audit reports and provide status of compliance(s).

In view of the above, members are reminded to close the non-compliance(s) and provide status of compliance(s) for the non-compliance(s) reported in the Internal Audit Report for the half year ended September 30, 2025 on or before January 31, 2026, through the Inspection module in the Member Portal in the prescribed format. Please note that in case the observations are not closed in the Action Taken Report or Action Taken Report has not been submitted within the due date, prescribed monetary penalty/disciplinary actions shall be initiated in accordance with Exchange Circular No. NSE/INSP/70746 dated October 10, 2025 or such other penalty circulars issued by the Exchange from time to time.

Members are advised to take note of the above and comply.

For and on behalf of
National Stock Exchange of India Limited

Jayalakshmi Iyer
Chief Manager

National Stock Exchange of India Limited

In case of any clarifications, Members may contact our below offices:

| Regional Office | Contact No. | E Mail ID |
|-------------------|---------------------------------|------------------------------|
| Ahmedabad (ARO) | 079-65278024/55 | inspectionahm@nse.co.in |
| Chennai (CRO) | 044- 66309915/17 | inspection_cro@nse.co.in |
| Delhi (DRO) | 011-23459146/127/144/ 147 | delhi_inspection@nse.co.in |
| Kolkata (KRO) | 033-40400412/59 | inspection_kolkata@nse.co.in |
| Mumbai (WRO) | 022-61928200 | compliance_wro@nse.co.in |
| Central Help Desk | compliance_assistance@nse.co.in | |